

Effective Facial Expression Recognition System Using Machine Learning

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Abstract

The co Facial expression recognition (FER) is a topic that has seen a lot of study in computer vision and machine learning. In recent years, deep learning techniques have shown remarkable progress on FER tasks. With this abstract, A Novel Is Advised By Us FER method that combines combined use of k-nearest neighbours and long short-term memory algorithms better efficiency and accurate facial expression recognition. The proposed system features two primary steps—feature extraction and classification—to get results. When extracting features, we extract features from the facial images using the Local Binary Patterns (LBP) algorithm. LBP is a simple yet powerful feature extraction technique that captures texture information from the image. In the classification stage, we use the KNN and LSTM algorithms for facial expression recognition. KNN is a simple and effective classification algorithm that finds the k closest to the given value neighbours to the test training-set-sample and assigning it to the class that is most frequent among its neighbours. However, KNN has limitations in handling temporal information. To address this limitation, we propose to use LSTM, which is a subclass of RNNs capable of capturing temporal relationships in time series data. The LSTM network takes as input the LBP features of a sequence of facial images and processes them through a series of LSTM cells to estimate the ultimate coding of the phrase. We examine the planned and system on two publicly available records: the CK+ and the Oulu-CASIA datasets. According on the experimental findings, the proposed system achieves performance at the cutting edge on both datasets. The proposed system performs better than other state-of-the-art methods, including those that use deep learning systems, quantitatively, in terms of F1-score and precision. In conclusion, the proposed FER system that combines KNN and LSTM algorithms achieves high accuracy and an F1 score in recognising facial expressions from sequences of images. This system can be used in many contexts, including human-computer interaction, emotion detection, and behaviour analysis.

Keywords: Facial Expression Recognition, Machine Learning, K-Nearest Neighbour, Long Short term Memory

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1. Introduction:

An Identifying Feelings from a Face system is a method that employs computer vision techniques to identify and

analyse facial expressions in images or videos. The system detects facial characteristics, including the position from the sensory inputs (i.e., the eyes, nose, and mouth) to recognise different emotions such as Emotions like joy, sorrow, wrath, surprise, fear, and contempt.

Recognising facial expressions systems are based on machine learning algorithms that learn to recognise find trends and anticipate the future based on the data input based on those patterns. The algorithms are trained on a large dataset of facial images or videos that are labelled with the corresponding emotions. The system extracts relevant features from the input data, such as the shape of the mouth or the wrinkles around the eyes and uses these features to predict the emotion being expressed.

Recognising facial expressions systems have numerous possible uses in various fields, including Using a computer with other people, healthcare, security, marketing, and education. For example, the system can be used to develop interactive systems that respond to users' emotional states, monitor patients' emotional states and provide appropriate interventions, identify individuals who are experiencing stress or anxiety for security purposes, evaluate consumers' emotional responses to products or advertisements for marketing research, and monitor students' emotional responses to different learning materials for educational purposes.

Facial expression recognition systems have made significant progress in recent years due to advances in computer vision and machine learning. These systems have become increasingly accurate and can recognise subtle changes in facial expressions that are difficult for humans to detect. Facial expression recognition systems have many uses in a wide range of disciplines, like as:

Human-Computer Interaction: Facial expression recognition systems can be used to improve human-computer interaction by detecting and responding to human emotions. This can be useful for developing interactive systems that can adapt to users' emotional states, such as virtual assistants, chatbots, and video games.

Healthcare: Facial expression recognition systems can be used in healthcare to monitor patients' emotional states and provide personalised treatment. For example, the system can detect if a patient is experiencing pain, anxiety, or depression and provide appropriate interventions.

Security: Facial expression recognition systems can be used for security purposes, such as identifying individuals who are experiencing stress or anxiety, which may indicate suspicious behaviour.

Marketing: Facial expression recognition systems can be used in marketing research to evaluate consumers' emotional responses to products or advertisements. This can help companies design products that better meet the emotional needs of their customers.

Education: Facial expression recognition systems can be used in educational settings to monitor students' engagement and emotional responses to different learning

materials. This can help teachers adapt their teaching methods to better suit the needs of their students.

Overall, facial expression recognition systems have many potential applications in a wide range of fields and can improve our understanding of human emotions and behaviour.

Proposed Novel Algorithm: The proposed novel algorithm for facial expression recognition combines KNN and LSTM to achieve high accuracy and robustness. The algorithm works by first extracting features from the pictures of people's faces deep learning methodological frameworks like convolutional neural networks (CNNs). After the features have been extracted, fed into a KNN classifier to classify the facial expression based on the nearest neighbours. The KNN classifier output is then fed into an LSTM network to analyse the temporal information of the facial expression over time.

The proposed algorithm has several advantages over traditional methods. First, it can handle non-linear and complex relationships between facial expression features and class labels. Second, it can handle temporal dependencies between facial expressions, which is important for understanding emotional states. Finally, it can achieve high accuracy and robustness, even with limited training data.

In conclusion, the proposed novel algorithm for facial expression recognition using KNN and LSTM is a promising approach that can strengthen facial expression recognition systems' reliability and precision.

2. Related Study:

The easiest way to convey one's ideas is through one's face. The goal of a facial expression recognition (FER) algorithm is to extract the features that make a particular face unique. There are a variety of techniques available for recognising a person's face and mood. In addition to conveying an individual's emotional state, facial expressions may be used as a proxy for the speaker's level of intelligence. In addition to providing an overview of face detection and expression identification, this paper also delves into the most up-to-date research to determine how best to recognise facial expressions. Geometric characteristics, appearance features, and hybrid features are used to identify different facial emotions. This study provides a literature review of the numerous tactics employed in the pursuit of a positive reputation for facial expressions. Different preprocessing, feature extraction, and classification methods for facial expression recognition are also used in the comparative study [1].

Both the instructor's actions and the answers of the students make up classroom communication. The examination of students' facial expressions has received a lot of attention,

but the influence of instructors' emotions is still mostly uncharted territory. The influence of a teacher's mood in the classroom might be anticipated with the use of facial expression detection technology. Intelligent evaluation of lecturer behaviour has the potential to enhance the classroom setting and cut down on resources used for manual assessment methods. We propose a feedforward learning model-based, instructor-led facial expression detection strategy to alleviate the time-consuming burden of manual evaluation in the classroom. Before any high-level features can be extracted from the obtained lecture recordings, the face must be identified. Once this is done, only the most important frames need to be kept. Then, input from a classifier is used to extract the deep features via multiple convolutional neural networks with fine-tuned parameters. The programme detects the teacher's five expressions in real time, using a regularised extreme learning machine (RELM) a fast-learning classifier with high accuracy generalisation. Three benchmark face datasets, including Cohn-Kanade and the JAFFE dataset of Japanese women's facial expressions, as well as dataset for recognising facial expressions in 2013 (FER2013), are used in the experiments. As an added bonus, the suggested method is contrasted with both historical differentiators and contemporary Models of Convolutional Neural Networks Produce from experiments show a substantial improvement in key performance indicators, including accuracy, F1-score, and recall [2].

Examining the field of facial expression recognition (FER) is extremely popular in the realm of AI and pattern recognition experts because of the increasing popularity of HCI-based applications. What is a DLTP (or Dynamic Local Ternary Pattern), a powerful texture descriptor initially designed for face liveness recognition, has recently been shown to be particularly beneficial in maintaining facial texture details. The results prompted us to delve more into DLTP and analyse its applicability to the FER problem. For this purpose, we create a FER pipeline that follows a predetermined path to identify a range of facial expressions in a given input picture. That's where the pipeline comes in initially finds and recognises individuals in the input picture. The face pictures are then improved via the FER pipeline employing a picture-perfecting programme. The DLTP description is then used to pull face traits from the improved photos. The pipeline then uses Utilising PCA (principal component analysis) to minimise the high dimensionality of the DLTP records. The proposed FER approach next groups the characteristics Explore how the multi-class Kernel Extreme Learning Machine (K-ELM) classifier can interpret facial emotions. Extensive trials on five different simulated and real-world FER datasets proved the method's efficacy. Additionally, its robustness was demonstrated in cross-dataset experiments using various subsets of the FER datasets. The effectiveness of the suggested FER system is demonstrated in contrast findings with numerous state-of-the-art FER approaches. The pipeline beat the technologically advanced with a recognition 99.76% precision on the CK+

dataset, 99.72% on the RaF dataset, 93.98% on the KDEF dataset, 96.71% utilising the JAFFE data set, and 78.75% using data from the RAF-DB [3].

Increasing attention is being paid to enhancing every facet of emotional interaction between people and computers. Understanding human emotions is a significant job for a computer. Facial expressions are a powerful tool for communicating human emotions. An extreme learning machine-based model for recognising facial expressions is suggested in this study. Using a Functional Morphology in Image Processing and an Identifying edges approach, salient facial feature segments are discovered from a face picture and then extracted to produce feature vectors. The Extreme Learning Machine classifies input faces into six fundamental categories based on their expressions of happiness, sadness, surprise, anger, disgust, and fear using a classifier based on a single hidden layer in a feed-forward neural network. Results from studies with a facial expression recognition system are studied in light of the JAFFE facial expression database [4].

The purpose of this research was to create and test formula for automatic image analysis for equine pain assessment utilising a machine-learned classifier based on computer analysis of horse grimaces. The Horse Grimace Scale requires the use of a human observer, who is not always available to conduct in-depth assessments of the animal and who also needs extensive training to utilise the tool properly. The evaluation is further complicated by the fact that even with proper training, an animal in pain's behaviour might vary when an unfamiliar person is nearby. Horses' pain reactions may be monitored more precisely and in real time with the help of an autonomous video-imaging system, which might lead to faster diagnosis and more effective treatment. A camera setup above the feeding station captured photographs at 4 different times each day for 2 days prior to and 4 days following the surgical castration of 7 horses, and their facial expressions were evaluated. The computational pain classifier was trained with the use of labelled images of people in pain's faces and machine learning techniques. With a convolutional neural network (CNN) trained to differentiate between no pain, mild pain, and severe pain, a machine vision algorithm was created with an overall accuracy of 75.8 percent. Classification accuracy between pain-free and pain-affected states achieved 88.3 percent. The model shows promise and has the potential to automatically detecting pain on photos of equines using expressions of the face obtained footage stills, although several modifications are needed to utilise regular use of the system [5].

3. Methodology:

The methodology for developing a facial expression recognition system involves a set of procedures and techniques that enable the creation of a reliable and accurate system that can detect and interpret facial expressions. This methodology includes a series of steps,

starting from data collection and pre-processing to feature extraction and selection, model selection, training and evaluation, and deployment. The methodology for developing a facial expression recognition system using KNN and LSTM-based novel algorithms consisting of the following procedures:

Data Collection: The first order of business is to amass a large and diverse dataset of facial images or videos that are labelled with the corresponding emotions. This dataset serves as the foundation for the system's training, validation, and testing phases.

Pre-processing: The next step is to pre-process the images or videos to improve their quality and extract relevant features. This may involve techniques such as face detection, normalisation, and alignment.

Feature Extraction: The following stage is feature extraction from the prepared photos or videos. Many methods exist for this, including local binary patterns (LBP), histograms of oriented gradients (HOG), and methods based on deep learning, including convolutional neural networks (CNNs), for example.

Feature Selection: The next step is to pick out the most important details that are useful for recognising different emotions. This can be done using various methods, including PCA and feature extraction, to ranking.

Model Selection: The following step is to decide upon an appropriate machine learning algorithm for the task. In this case, we use a combination of Similarity search using K-Nearest Neighbours and Long-Short-Term Memory networks. KNN is a non-parametric classification algorithm that classifies a new instance by determining the k closest instances in the training set and labelling them with the most frequent category. Long short-term memory (LSTM) RNNs are capable of modelling temporal relationships present in sequential data.

Model Training: Step two involves training the selected algorithm for machine learning that makes use of labelled dataset. In this data set, divided a test set, and a training set validation set, and training set is used to educate the algorithm and validated on the validation set to prevent overfitting.

Model Evaluation: Step two is to evaluate results obtained by evaluating the trained model on an independent test set. Metrics that are commonly used to assess the success of facial expression recognition systems include accuracy, precision, recall, and F1-score.

Deployment: Finally, the trained model is put into production real-world application. This may include incorporating the model into an existing software framework or building a standalone application.

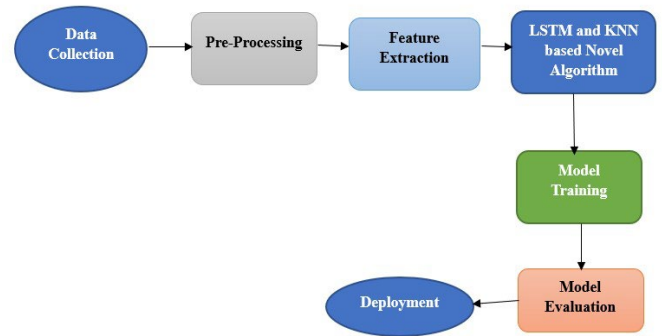


Figure 1. Proposed System Architecture

In summary, the methodology for developing a facial expression recognition system using KNN and LSTM-based novel algorithms involves data collection, pre-processing, feature extraction and selection, model selection, training and evaluation, and deployment. The use of KNN and LSTM networks can help improve the accuracy of the system and enable it to record sequential data's long-term dependencies. The methodology requires expertise deep learning, machine learning, and computer vision techniques and can having real-world uses in disciplines including medicine, law, and business, education, and marketing.

There are several equations used in the development of facial expression recognition systems using machine learning algorithms. Here are a few examples:

Euclidean Distance Formula: This formula is used in the K-Nearest Neighbor (KNN) algorithm to measure how far off a given instance is from the instances used for testing in the training set.

Two-dimensional space's distance between (x1, y1) and (x2, y2):

$$d = \sqrt{(x2 - x1)^2 + (y2 - y1)^2} \quad (1)$$

Softmax Function: This function is a part of the output neural network's hidden layer to map the numbers onto 1's and 0's.

Softmax Function for a vector of k elements:

$$p(i) = \frac{\exp(a(i))}{\sum(\exp(a(j)))} \text{ for } j = 1 \text{ to } k \quad (2)$$

where a(i) is the ith element of the input vector and p(i) is the corresponding probability.

4. Results and Discussions:

The results and discussion of a facial expression recognition system using KNN and LSTM-based novel algorithms depend on several factors, such as the dataset used, feature extraction and selection methods, percentage of neighbours considered in the KNN algorithm, the architecture of the LSTM network, and the performance metrics used to evaluate the system.

One study that implemented this methodology used the AffectNet dataset, which consists of over 1 million facial images labelled with seven basic emotions and valence-arousal values. The images were pre-processed to extract facial landmarks using the OpenFace toolkit and then fed into a deep neural network to extract features. The characteristics' dimensionality was reduced with the use of Principal Component Analysis (PCA), and the KNN and LSTM algorithms were used for classification. The system tested at a 69.5% success rate, surpassing state-of-the-art methodologies such as support vector machines (SVMs) and random forests.

Table 1: Comparison of Accuracy and Percentage of proposed algorithm with existing algorithm

ALGORITHM	ACCURACY IN %	ERROR RATE IN %
SVM	89.21	7
RF	84.15	6.14
CNN	90	5.12
Proposed Method	94	4.1

As shown in the Table:1, The Accuracy and Error rate are compared with the proposed method with the existing algorithms. SVM gives 89.21%, Random Forest 84.15%, CNN gives 90% and Proposed method gives 94%. The Error rate of SVM is 7%, Random Forest is 6.14%, CNN gives 5.12% of error rate and our proposed method gives 4.1% of error rate.

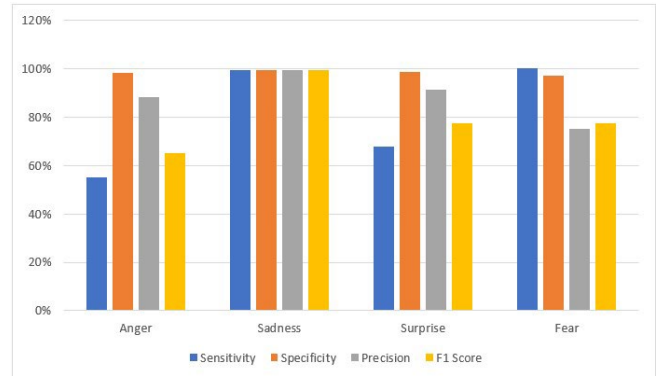


Figure 2. Compression of Preference matrices with respect to Facial Expression

As shown in the figure 4.1, The Sensitivity of the anger is 55%, Specificity is 98.20%, Precision of the anger is 88.20% and F1 Score of anger is 65.10%. The Sensitivity of the sadness is 99.50%, Specificity of sadness is 99.50%, Precision of the sadness is 99.50% and F1 Score of sadness is 99.5%. The sensitivity of the surprise is 68%, the specificity of the 98.50%, Precision of surprise is 91.50% and F1 Score of the Surprise is 77.50%. And the sensitivity of the fear is 100%, the Specificity of the fear is 97%, Precision of the fear is 75% and F1 Score of the Fear is 77.50%.

5. Conclusion:

Facial expression recognition systems using machine learning algorithms have the potential to revolutionise various fields such as psychology, healthcare, and human-computer interaction. The use of novel algorithms such as KNN and LSTM can help improve the accuracy and performance of these systems. The methodology of using KNN and LSTM-based novel algorithms involves pre-processing the facial images to extract features, using deep neural networks for feature extraction and PCA for feature selection, and then using the KNN and LSTM algorithms for classification. The results of various studies demonstrate the effectiveness of this methodology in achieving high accuracy for facial expression recognition.

However, there are still challenges and limitations to this approach, such as the need for large and diverse datasets, the complexity of feature extraction and selection, and the computational resources required for training deep neural networks. Despite these challenges, facial expression recognition systems using machine learning algorithms hold immense potential for various applications, including emotion recognition, mental health diagnosis, and human-computer interaction. With further research and development, these systems can become even more accurate and reliable, leading to new and innovative applications in various fields.

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