

Tunnel Stability Analysis Based on Temporary Support Modeling in The Construction of Hasang Hepp in Toba Samosir District North Sumatra Province

Tengku Tibri^{1*}, M. Eka Onwardana¹, Ediyasa Ardiansyah¹, Heri Adhahari¹, Lismawaty²,
Bintang Wijaya³
{ tengku.tibri@gmail.com* }

¹Mining Department – Institut Teknologi Medan. Jl. Gedung Arca No. 52. Medan. Indonesia

²Geology Department – Institut Teknologi Medan. Jl. Gedung Arca No. 52. Medan. Indonesia

³Fresh Graduate in Mining Department – Institut Teknologi Medan. Jl. Gedung Arca No. 52. Medan. Indonesia

Abstract: Excavation at the tunnel of Hydroelectric Power Plant along 2,650 m has been conducted in Nassau Sub-district Toba Samosir District North Sumatra Province. To determine an appropriate supporting to the tunnel, simulation of supporting system variation using Phase2 and Unwed must be taken. Displacement analysis is conducted at four point, likes at the point at the roof of the tunnel, point b between roof and the tunnel wall, point c on the tunnel wall, and point d on the corner side of the tunnel floor. There are 16 variation of supporting system that implemented to the model. Conclusion from the simulation shows the appropriate supporting system is recommended 1 rockbolt along 6 m, random space, and shotcrete with thickness 50 mm on floor and the wall.

Keywords: Appropriate Supporting Tunnel, Rock Mass Rating, Q-System, Modeling, Phase2, Unwedge.

1. Introduction

The Tunnel excavation of *Hasang* Hydro-electric Power Plant along 2,650 meters under construction in Nassau Sub-district, Toba Samosir District, North Sumatra Province. The tunnel is expected to penetrate Toba tuff with inserting debris flow mud silky stone approaching the end of the tunnel. The tunnel is also closely with the Renun fault of SFS system (Sieh. K and Natawidjaja, 2000) .

In the construction of hydropower tunnels often encountered an unusual problems occurred in the design of ordinary buildings. The problems are heterogeneous rock mass properties, anisotropic, discontinuous and geological factors that are directly related to the forces acting on the initial shear. This is what causes the collapse often occurs in several tunnels are being constructed.

The problems that often occurs in the tunnel construction are inappropriate support system that resulting a failure on the tunnel or apply a bigger safety factor that resulted an excessive of supporting.

The aim of this study is to achieve an appropriate supporting system as a recommendation to support a safety and effective supporting system. The case study conduct on the *Hasang* Hydroelectric Power Plant which is distance from Medan \pm 282 km to the southwestward in Nassau Sub-district, Toba Samosir District, North Sumatra

Province (Figure 1). Hasang HEPP has been proposed as a *run-of-river* hydropower and it generates 39 MWe.



Fig. 1. Location of *Hasang* HEPP in Toba Samosir District, North Sumatra Province.

2. Geological Setting

2.1 Morphology

The morphology of study area involves rolling hills with some steeper, incised valleys cut by erosion of streams. Valleys of tributary are generally V-shaped with relatively steep slopes and slope angles between 30° to 80° . In northwestern part of the site, the stiff mountains above 2,500 m in elevation are developed in the direction of northwest to southeast and east to west. In northeastern part of the site almost consists widespread lowland plain. The lowest part in the study area is the west side that closely to the Toba Lake with a height of 920 msal. The current is deep and fast though the catchment area is narrow because of steep topography. Streams basin of this site show typical dendritic drainage.

2.2 Geology Of Study Area

According to Pematang Siantar geological map, number 0718 (Cameron N.R., 1982), study area are situated on the eastern flank of Barisan Mountains which constitute a back bone of mountain range in the Sumatra.

Stratigraphic sequences show the oldest to youngest rocks in the study area are Mesozoic and/or Paleozoic of sedimentary rocks with poorly bedded conglomeratic waxes and *quartzose arenites* from Tapanuli group, above the formation is deposited basal conglomerates, sandstones, sometimes glauconitic, siltstones from Peutu formation with age Middle Miocene to Pliocene, followed by Pleistocene volcanic rocks of *pumiceouse rhyodacitic* tuff with partially welded and columnar jointed from Toba tuff formation, and the youngest formation is *Alluvium Holocene* consists clays, silts and gravel of river deposits. The bedrocks mainly consists sandstone, *shale* and *phyllites* exposed several kilometers west part from study area.

Geology local in the study area mostly consist Quaternary sedimentary and volcanic rocks. Quaternary sedimentary rocks can be divided as *tuffaceous sandstone* (fine to very fine grained), *siltstone*, *mudstone* and *shale*. The volcanic rocks almost show deictic tuff interbred ignimbrite tuff in upper part. Ignimbrite contains quartz and plagioclase *phenocryst* and it is seen distributed along Kualu River showing chain to

band-shaped. In this study area, these Quaternary volcanic rocks called *Toba Tuff* (Anonim, 2016).

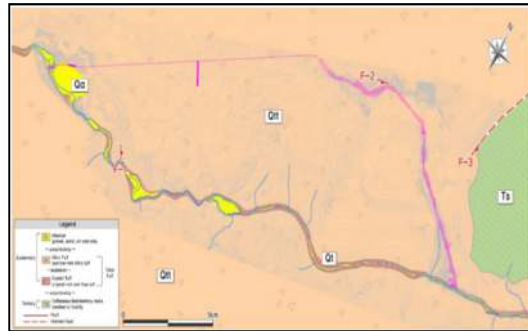


Fig. 2. Detailed Geology in Study Area.

3. Methodology

The research methodology to be carried out in this case is a simulation to determine appropriate supporting through the small or simple scale system sampling, in which the model will be manipulated or controlled to understand the effect.

The simulation will use the software Phase2 and Unwedge that distributed the strength based finite element concept. Phase 2 will be used for analysis factor stability tunnel from displacement, and Unwedge will be used for analysis factor stability tunnel from the problems of structure geology in the tunnel. Output for the Unwedge is value of stability factors (FS). The support that will become model based from rock mass classification RMR and Q-System, and the physical and mechanical properties of rockmass obtained from the laboratory test.

The supporting to be modeled is based on rock mass quality that assessed through the rock mass classification of RMR and Q-System (Bieniawski Z.T, 1973). Data required for this study include lithology and structure data in tunnel, field test and laboratory data, and tunnel design. The results obtained are input data on Phase2 and Unwedge software. Modeling is done by several stages, likes before the tunnel is supported, the tunnel with the support has been applied in the site, and the tunnel with the support follows to the rock mass classification of RMR and Q-System. Furthermore, supporting recommended by the RMR and Q-System will be simulated for effective and efficient temporary supporting (Cecil, O.S., 1970).

4. Result And Discussion

While the study is being conducted, the length of the excavated tunnel already reach 2,560 m with 1 workadit along 160.96 m. Data that we use for this study is length 29 m at the distance 89 - 118 m.

4.1 ROCKMASS CLASSIFICATION In STUDY AREA

According to the clasification of rock mass RMR, there are two classes of rock in the tunnel namely *good rock* classes and *fair rock* classes (Barton, 2013). The *good rock* found at the position of 89-108 m and 116-118 m, and *fair rock* found at the

position of 108-116 m. The different of classes is caused the descending of RQD value (Tibri, 2008).

The difference of the classes of rock mass according to Q-system is caused by the difference of the number of joints structure in the tunnel. The joint is acted as RQD divided, and more number of joints mean more decrease the assessment of rock mass classification.

4.2 Tunnel Before Supporting

The position of the tunnel that analyzed using Phase2 software is conducted at 4 points, likes point at the tunnel roof, point *b* between the roof and the tunnel wall. point *c* at the tunnel wall, and point *d* at the corner side of the tunnel floor. The result analysis using Phase2 shows the mayor stress (σ_1) at the point *a* is 2.40 Mpa, at the point *b* is 0.15 Mpa, point *c* is 0.0 Mpa, and at the point *d* is 2.55 MPa. The minor Stress (σ_3) at the point *a* is 0.18 Mpa, point *b* is -0.09 Mpa, point *c* of -0.22 Mpa, and point *d* of 0.63 MPa. Due to no supporting to the tunnel generates the displacement. Measurement that conducted to the location show the displacement in a day at point *a* is 0.1 mm, at the point *b* is 0.45 mm, at the point *c* is 0.52 mm, and the last at the point *d* is 0.15 mm. The model shows the displacement can be seen at the Figure 3.

According (Zhenxiang., 1984), at the point *a* and point *d* show a stable condition, and at the point *b* and point *c* show a relatively unstable condition. According to Unwedge, the value of stability factor for tunnel before installed supporting is 0.616. According (Hoek and Brown, 1980) and (Hoek, 2000) the value for stability factor is ≥ 1.5 , the condition above are not stable and most likely to collapse if not supporting immediately.

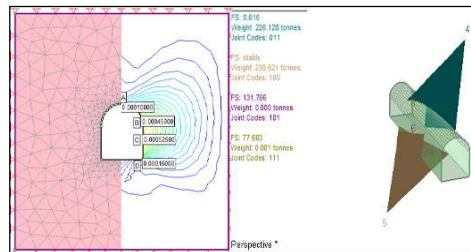


Fig. 3. Total Displacement and Value of Factor Stability Tunnel before Installed Support.

4.3 Tunnel After Supporting

Supporting system has been applied on the site are rockbolt and shotcrete. Rockbolt along 2m with spacing 2m, and shotcrete with thick recommendation of 80 mm already implemented on the roof and side of tunnel point *a* is 2.25 Mpa, at the point *b* is 0.15 Mpa, *a*. Analysis use Phase2 that conducted to the area of tunnel shows the mayor Stress (σ_1) at the t the point *c* is 0.0 Mpa, and at the point *d* is 2.40 MPa. The data showed the distribution of mayor stress tend to decrease. The decreasing interpreted as the supporting activities have pressure reaction and it makes the strain distribution being decreased.

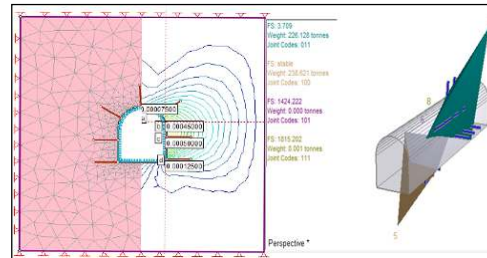


Fig. 4. Total Displacement and Value of Stability Factor for Tunnel after Supporting has been Installed on site.

While the analysis result to the minor stress (σ_3) at the point *a* is 0.28 Mpa, at the point *b* is -0.12 Mpa, at the point *c* is -0.12 Mpa and at the point *d* is 0.72 MPa. Displacement before and after implementing of supporting system shows the difference to the point *a*, *c*, and *d*. At the point *a* and point *d* show the decreasing value of 0.03 mm/day, and at the point *c* the decreasing is 0.02 mm/day. The displacement at the point *a* and the point *d* showing the stable condition while at the point *b* and point *c* showing relatively stable (Zhenxiang., 1984). Figure 4 shows the displacement and value of stability factor after supporting installed. The value of stability factor after installing the supporting shows the number of 3.709. It means the value is very stable and tends to ineffective.

4.4 TUNNEL With VARIATION SUPPORTING SYSTEM

To understand the supporting system and attempt to meet an appropriate supporting, we try to simulate the data. Modeling for supporting system that implemented in this study based to rock mass classification RMR and Q-System. There are 16 variation of supporting system that implemented to simulate to the model with Phase2 and Unwedge softwares. The first variation is implemented while the tunnel is opened and not supporting yet, second variation while the tunnel already supporting, and the third until 16th are implemented with supporting variation categories *fair* rock according RMR system. Meanwhile, from third until 16th supporting can be selected the appropriate support and used as comparison to the other supporting recommended. The result of modeling can be seen at the Table 1.

The result of modeling shows the variation of 15th is recommended with *good* rock based on RMR system and variation of 16th is recommended based on Q-System.

Analysis to the mayor stress (σ_1) shows the dominant points are relatively decrease. The decrease cause supporting has pressure reaction properties so that reaction press the stress. Analysis minor stress (σ_3) overall variation after installing support tend decrease due to the effect of increasing number of support, and vertical stress decrease.

Analysis displacement using Phase2 to the each of point show the displacements relatively decrease due to implementing of supporting system. Several point show decrease of displacement likes at the point *a*, *c*, and *d*. Meanwhile, at the point *b* the displacement visible similarly. While the value of stability factor tunnel overall variation after installing support is tend increase it mean tunnel in stable condition. The stress, displacement, and factor stability to the model can be seen at Figure 5.

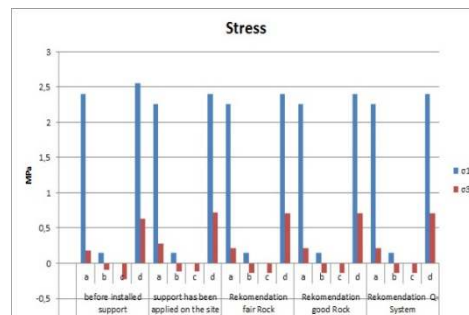
Table 1. Stress, Displacement and Stability Factor on Simulation of Variation Supporting System.

| Supporting Recommendation | Analysis point | σ_1 | σ_3 | Displacement | FK |
|--------------------------------------|----------------|------------|------------|--------------|-----|
| The tunnel before of Supporting | a | 2.40 | 0.18 | 0.1 | 0.6 |
| | b | 0.15 | -0.09 | 0.45 | |
| | c | 0.0 | -0.22 | 0.52 | |
| | d | 2.55 | 0.63 | 0.15 | |
| The Tunnel After Supporting | a | 2.25 | 0.28 | 0.07 | 3.7 |
| | b | 0.15 | -0.12 | 0.45 | |
| | c | 0.0 | -0.12 | 0.5 | |
| | d | 2.40 | 0.72 | 0.12 | |
| Recommendation for fair rocks | a | 2.25 | 0.21 | 0.1 | 2.7 |
| | b | 0.15 | -0.14 | 0.45 | |
| | c | 0.00 | -0.14 | 0.5 | |
| | d | 2.40 | 0.71 | 0.15 | |
| Recommendation for good rocks | a | 2.25 | 0.21 | 0.1 | 2.6 |
| | b | 0.15 | -0.14 | 0.45 | |
| | c | 0.00 | -0.14 | 0.5 | |
| | d | 2.40 | 0.71 | 0.15 | |
| Recommendation for Supporting system | a | 2.25 | 0.21 | 0.1 | 2.1 |
| | b | 0.15 | -0.14 | 0.45 | |
| | c | 0.0 | -0.14 | 0.5 | |
| | d | 2.40 | 0.71 | 0.15 | |

4.5 DETERMINATION For EFFECTIVE SUPPORTING SYSTEM

From simulation variation modeling supporting system, which is the most effective support is recommendation from Q-system. The recommended supporting system are using rock bolt along 6 m, random spacing. The quantity of supporting system that recommended is smaller than other. This recommended supporting system will reduce 8 m of each used rock bolt and also this support will reduce using shotcrete until 20 mm thick.

(a)



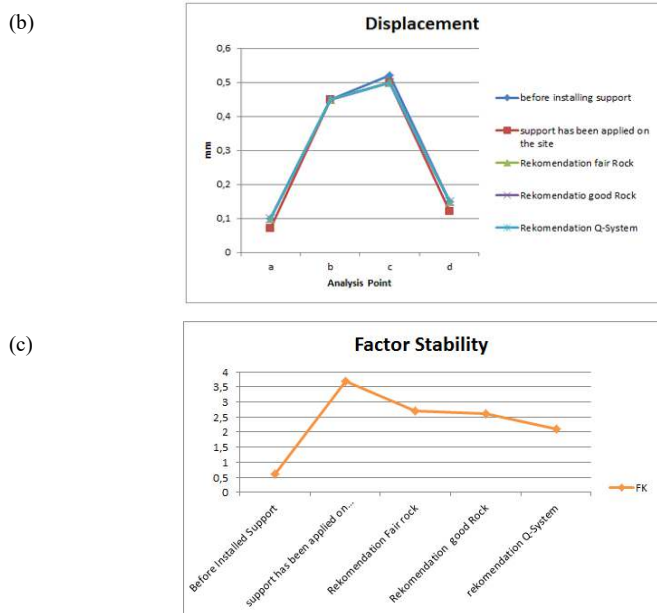


Fig. 5. The graphic for (a) Stress, (b) Displacement, and (c) Stability Factor that resulted from modeling.

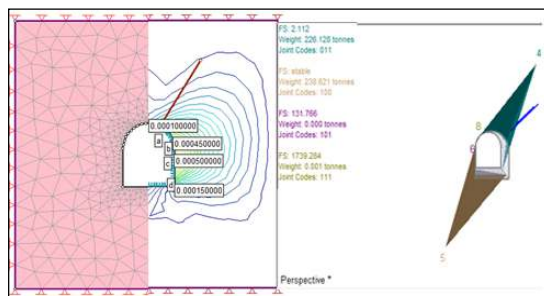


Fig. 6. Rekomendasi for the best Effective Supporting with Variation model

5. Conclusions

1. According RMR system, there are two classes of rocks at the tunnel analyzed, *fair rock* and *good rock*. According to the class of rock mass Q-System, there are four classes of rockmass on the tunnel, likes extremely rock, very good rock, good rock, fair rock. These differences to the tunnel due to the number of joint present on the tunnel.
2. Tunnel before installed of supporting from total displacement on the roof is showing stable condition and on the side showing relatively condition. While factor stability is unstable.

3. Tunnel with supporting system has been applied on the site. Displacement on the roof showing stable condition and on the side showing relatively stable condition. While the value of stability factor showing very stable condition.
4. From simulation variation supporting system an effective recommendation support for tunnel is recommendation Q-system. Recommendation support is 1 rockbolt along 6 m and random spacing. While shotcrete 50 mm on the roof and side, this support is the most effective than other variation support. This recommendation will reduce using rockbolt until 8 m length every 1 m on the tunnel length. While also this support reduce using shotcrete until 20 mm of thickness.

Acknowledgements

Initial work leading to this article was carried out in collaboration with my colleagues Mr. Alfian as a geologist tunnel analysis of PT. Binsar Natorang Energy. We also thank to the management of company that give us chance to expose the data.

References

- [1] Anonim (2016) 'Geotechnical Investigation Report Hasang Hydroelectric Power Plant in Indonesia'.
- [2] Bieniawski Z.T (1973) 'Rock Mechanics Design In Mining And Tunneling, The Pennsylvania State University. Boston'.
- [3] Cameron N.R., et. all (1982) 'Geologic Map of the Pematang Siantar Quadrangle, Sumatra. Geological Research and Development Centre, West Java.'
- [4] Cecil, O.S. (1970) 'Correlation of Rockbolt-Shotcrete Support and Rock Quality Parameters in Scandinavian Tunnels, Ph.D thesis, University of Illinois, Urbana.'
- [5] Hoek (2000) 'Predicting Tunnel Squeezing in Weak Heterogeneous Rock Masses, Tunnel and Tunneling Internasional, part 1.'
- [6] Hoek and Brown (1980) 'Underground Excavation in Rock, The Institution of Mining And Metallurgy.'
- [7] Sieh. K and Natawidjaja (2000) 'Neotectonics of Sumatran Fault, Indonesia, Journal of Geophysical Research', *Journal of Geophysical Research*, 105, p. 28.295-28.326.
- [8] Tibri, T. (2008) 'Analisis Kestabilan Terowongan Jalan Menggunakan Metode Empirik dan Analitik di Desa Sibaganding Kabupaten Simalungun Provinsi Sumatera Utara, Seminar Nasional. Peningkatan Sumberdaya Manusia dan Industri Berbasis Universitas Riset, Fakultas Teknik UISU.'
- [9] Zhenxiang., X. A. (1984) 'Tunnel Design Methode Using Field Measured Data.', in *Proceeding of ISRM Symposium Design And Performance of Underground Excavation*,. London.

Appendices

MAYOR And MINOR STRESSES ANALYSIS (Σ_1 And Σ_3)

| Supporting Recommendation | Stages | Shotcretes | Rockbolts | | σ_1 (MPa) | | | | σ_3 (MPa) | | | |
|--|--------|------------|-------------|------------|------------------|------|-----|------|------------------|-------|-------|------|
| | | | Spacing (m) | Length (m) | a | b | c | D | a | b | c | d |
| Before Suporting | 1 | - | - | - | 2.40 | 0.15 | 0.0 | 2.55 | 0.18 | -0.09 | -0.22 | 0.63 |
| After Suporting | 2 | 80 | 2 | 2 | 2.25 | 0.15 | 0.0 | 2.40 | 0.28 | -0.12 | -0.12 | 0.72 |
| Variation in Recommendation of Fair Rock RMR | 3 | 50 | 1.5 | 4 | 2.25 | 0.15 | 0.0 | 2.40 | 0.21 | -0.09 | -0.14 | 0.71 |
| | 4 | 50 | 2 | 4 | 2.25 | 0.15 | 0.0 | 2.40 | 0.21 | -0.14 | -0.14 | 0.66 |
| | 5 | 50 | 1.5 | 3 | 2.25 | 0.15 | 0.0 | 2.40 | 0.21 | -0.09 | -0.14 | 0.71 |
| | 6 | 50 | 2 | 3 | 2.25 | 0.15 | 0.0 | 2.40 | 0.21 | -0.14 | -0.14 | 0.71 |
| | 7 | 100 | 1.5 | 4 | 2.1 | 0.15 | 0.0 | 2.25 | 0.27 | -0.13 | -0.13 | 0.77 |
| | 8 | 100 | 2 | 4 | 2.1 | 0.15 | 0.0 | 2.25 | 0.27 | -0.13 | -0.13 | 0.77 |
| | 9 | 100 | 1.5 | 3 | 2.1 | 0.15 | 0.0 | 2.25 | 0.27 | -0.13 | -0.13 | 0.77 |
| | 10 | 100 | 2 | 3 | 2.1 | 0.15 | 0.0 | 2.25 | 0.27 | -0.13 | -0.13 | 0.77 |
| | 11 | 150 | 1.5 | 4 | 2.1 | 0.1 | 0.1 | 0.22 | 0.32 | -0.14 | -0.09 | 0.77 |
| | 12 | 150 | 2 | 4 | 2.1 | 0.1 | 0.1 | 0.22 | 0.32 | -0.14 | -0.09 | 0.77 |
| | 13 | 150 | 1.5 | 3 | 2.1 | 0.1 | 0.1 | 0.22 | 0.32 | -0.09 | -0.09 | 0.77 |
| | 14 | 150 | 2 | 3 | 2.1 | 0.1 | 0.1 | 0.22 | 0.32 | 0.09 | -0.09 | 0.77 |
| Good Rock (RMR) | 15 | 50 | 2.5 | 3 | 2.25 | 0.15 | 0.0 | 2.40 | 0.21 | -0.14 | -0.14 | 0.71 |
| Q-System | 16 | 50 | - | 6 | 2.25 | 0.15 | 0.0 | 2.40 | 0.21 | -0.14 | -0.14 | 0.71 |

DISPLACEMENT ANALYSIS And SAFETY FACTOR

| Supporting Recommendation | Stages | Shotcretes | Rockbolts | | Displacement (mm) | | | | Safety Factor (FK) | |
|--|--------|------------|-------------|------------|-------------------|------|------|------|--------------------|-------|
| | | | Spacing (m) | Length (m) | a | b | c | d | | Total |
| Before Suporting | 1 | - | - | - | 0.1 | 0.45 | 0.52 | 0.15 | 0.1 | 0.616 |
| After Suporting | 2 | 80 | 2 | 2 | 0.07 | 0.45 | 0.50 | 0.12 | 0.07 | 3.709 |
| Variation in Recommendation of Fair Rock RMR | 3 | 50 | 1.5 | 4 | 0.07 | 0.45 | 0.50 | 0.15 | 0.07 | 2.834 |
| | 4 | 50 | 2 | 4 | 0.07 | 0.45 | 0.50 | 0.15 | 0.07 | 3.028 |
| | 5 | 50 | 1.5 | 3 | 0.07 | 0.45 | 0.50 | 0.15 | 0.07 | 2.773 |
| | 6 | 50 | 2 | 3 | 0.1 | 0.45 | 0.50 | 0.15 | 0.1 | 3.028 |
| | 7 | 100 | 1.5 | 4 | 0.07 | 0.45 | 0.50 | 0.12 | 0.07 | 4.245 |
| | 8 | 100 | 2 | 4 | 0.07 | 0.45 | 0.50 | 0.15 | 0.07 | 4.435 |
| | 9 | 100 | 1.5 | 3 | 0.07 | 0.45 | 0.50 | 0.12 | 0.07 | 4.1 |
| | 10 | 100 | 2 | 3 | 0.07 | 0.45 | 0.50 | 0.12 | 0.07 | 4.435 |
| | 11 | 150 | 1.5 | 4 | 0.07 | 0.45 | 0.47 | 0.12 | 0.07 | 5.597 |
| | 12 | 150 | 2 | 4 | 0.07 | 0.45 | 0.47 | 0.12 | 0.07 | 5.784 |
| | 13 | 150 | 1.5 | 3 | 0.07 | 0.45 | 0.47 | 0.12 | 0.07 | 5.539 |
| | 14 | 150 | 2 | 3 | 0.07 | 0.45 | 0.47 | 0.12 | 0.07 | 5.784 |
| Good Rock (RMR) | 15 | 50 | 2.5 | 3 | 0.1 | 0.45 | 0.5 | 0.15 | 0.1 | 2.644 |
| Q-System | 16 | 50 | - | 6 | 0.1 | 0.45 | 0.5 | 0.15 | 0.1 | 2.112 |