

updated B_i , and then perform the sub-block background judgment until all $M \times N$ sub-blocks in I_b generate backgrounds.

Normally, the background modeling process will enter the $i++$ loop many times, that is, the symmetric frame difference processor will be called many times before the background image can be successfully generated, as shown in figure 5.

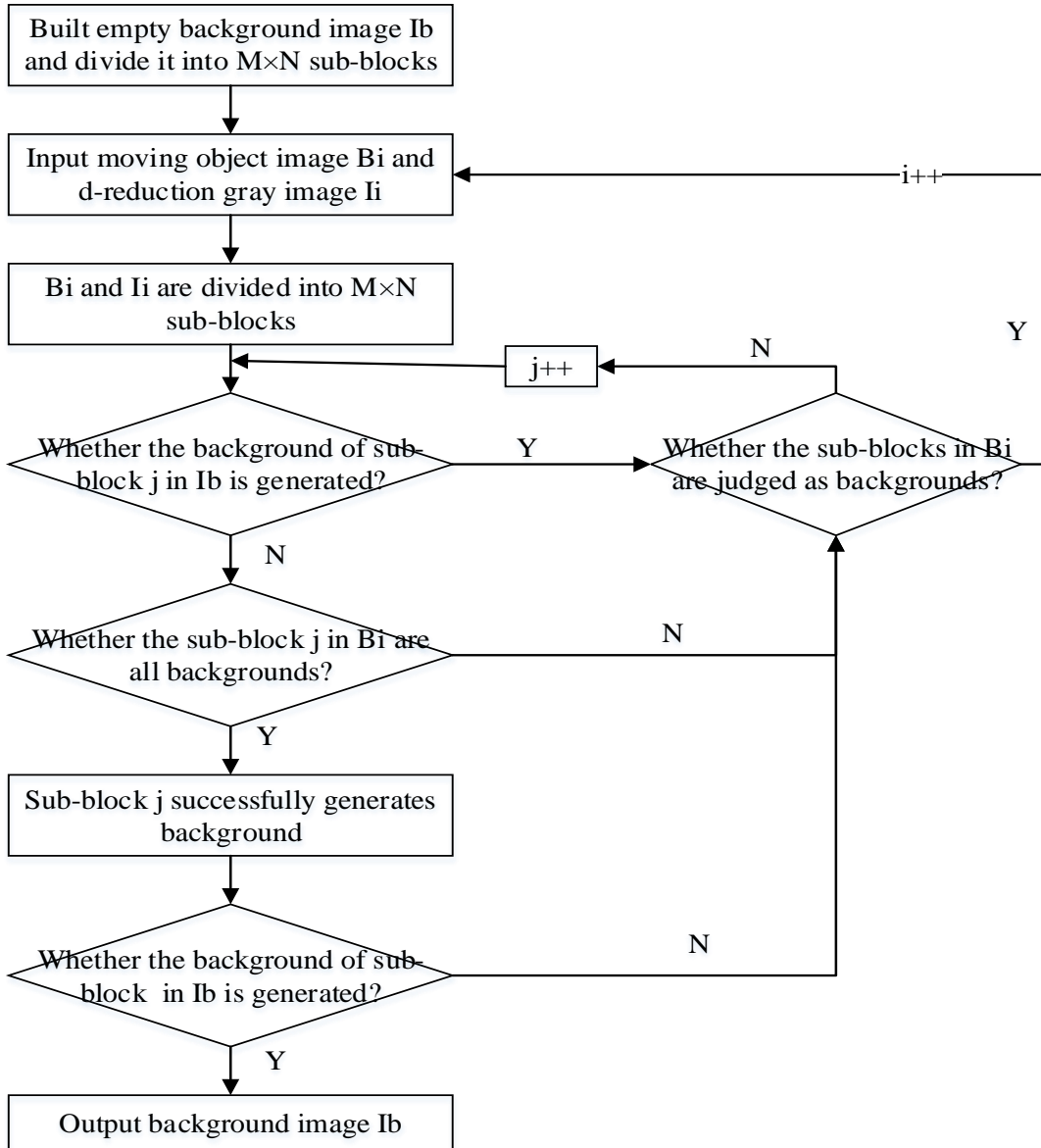


Figure 3. Background Modeling flowchart

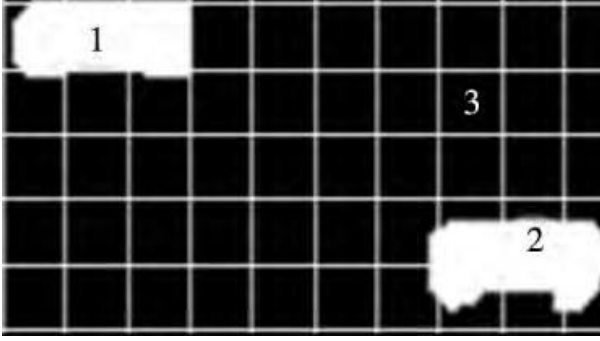


Figure 4. sub-block division of B_i

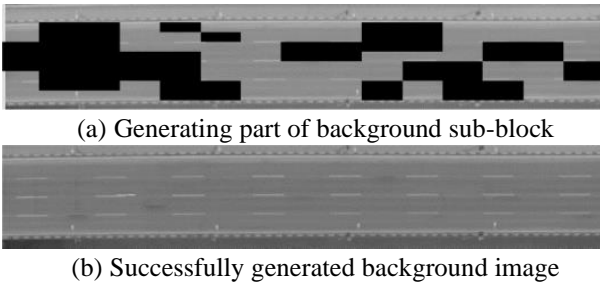


Figure 5. Example of background modeling process

3. Low rank sparse decomposition model

The low rank sparse decomposition is to decompose the observation matrix $D \in R^{m \times n}$ of video is decomposed into the sum between low rank matrix $L \in R^{m \times n}$ and sparse matrix $S \in R^{m \times n}$. The mathematical model can be expressed as:

$$\min_{L,S} R_{rank}(L) + \lambda \|S\|_0, s.t. D = L + S \quad (4)$$

Where $R_{rank}(\cdot)$ represents the rank function of matrix L , that is, the rank size of matrix L . $\|\cdot\|_0$ represents the quasi zero norm of matrix S , that is, the number of non-zero elements in matrix S . $\lambda > 0$ is a penalty factor. Because the rank function and quasi-zero norm in equation (4) are non-convex and non-smooth, it is an NP hard problem.

The convex relaxation of equation (4) is transformed into a convex optimization problem, and its mathematical model can be expressed as:

$$\min_{L,S} \|L\| + \lambda \|S\|_1, s.t. D = L + S \quad (5)$$

Where $\|\cdot\|_*$ represents the kernel norm of matrix L , that is, the sum of singular value of matrix L . $\|\cdot\|_1$ represents the L1 norm of matrix S , that is, the sum of the absolute values of all elements in matrix S .

3.1. Low rank modeling

In RPCA algorithm, the low rank matrix L is constrained by kernel norm, which is not the best approximation of rank function. The weighted Schatten-p norm in reference [24] is a more accurate rank function approximation and can suppress the noise generated during measurement. Therefore, the weighted Schatten-p norm is used in this study to carry out the low-rank constraint, and its expression is:

$$\|L\|_{w,S_p} = \left(\sum_{i=1}^{\min\{m,n\}} \omega_i \sigma_i^p \right)^{1/p} \quad (6)$$

Where $0 < p \leq 1$ is the weighting parameter. σ_i represents the i -th singular value of matrix L . $w = [\omega_1, \dots, \omega_i, \dots, \omega_{\min\{m,n\}}]$ represents the weight matrix of corresponding singular value σ_i , it is a non-negative vector. $\omega_i \geq 0$ satisfies non decrement, i.e. $0 \leq \omega_1 \leq \dots \leq \omega_i \leq \dots \leq \omega_{\min\{m,n\}}$. Then, the weighted Schatten-p norm is given to the power of P , and the result is obtained.

$$\|L\|_{w,S_p}^p = \sum_{i=1}^{\min\{m,n\}} \omega_i \sigma_i^p \quad (7)$$

Where, the weight calculation formula $\omega_i = C \sqrt{mn} / [\sigma_i(L) + \varepsilon]$. C is a constant greater than zero. ε represents a minimal constant, usually $\varepsilon = 10^{-16}$.

3.2. Sparse modeling

In RPCA algorithm, the sparse matrix S is constrained by L1 norm, which processes each element in the matrix independently, but the movement of foreground targets is continuous in space. Using this structural prior information, reference [20] proposed a structured sparse norm based on overlapping groups.

As shown in figure 6, assuming the sparse foreground on the 8×8 pixel image has two different distributions, as shown in figure 6 (a) and figure 6 (b). In the figure, white indicates that the pixel gray value is large, and black indicates that the pixel gray value is small. Since L1 norm represents the sum of the absolute values of all elements, L1 norm will have similar values in both cases. Structured sparse norm is a $t \times t$ pixel window slides on the image line

by line and column by column to obtain the l_∞ norm corresponding to each window. This paper uses 3×3 pixel window, six pixels overlap between adjacent windows. On the image with 8×8 pixel, 36 groups sub-space g of 3×3 pixel can be obtained in advance, that is, $G = \{g_1, g_2, \dots, g_{36}\}$. Then it takes the l_∞ norm of each subspace, that is, the maximum value of each subspace. Therefore, two significantly different values will be generated. For figure 6 (a) and figure 6 (b), since there are more groups of pixels with larger values in figure 6 (d), the value of figure 6 (c) will be much smaller than that of figure 6 (d). Under the requirement of minimum sparsity of foreground, figure 6 (a) will be more likely to be considered as foreground.

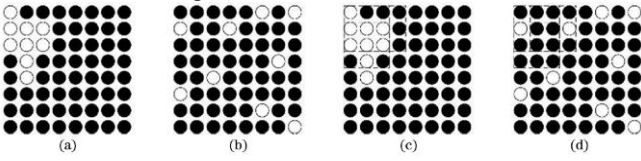


Figure 6. Structured figures based on 3×3 pixel overlapping group. (a) (b) Two different foreground distributions; (c) (d) overlapping group of two different distributions.

In the foreground matrix, each frame image is regarded as a column of elements, then the structured sparse norm constraint for the whole foreground matrix S can be expressed as:

$$\Omega(S) = \sum_{j=1}^n \sum_{g \in G} \|s_g^{(j)}\|_\infty \quad (8)$$

Where $s_g^{(j)}$ represents the element covered by the window in j -th frame. $s^{(j)} \in \mathbb{R}^m$ represents the j -th column element of the foreground matrix S , that is, the j -th frame of the video. g represents the subspace of the elements covered by the window. G represents the set of g , and $\|s_g^{(j)}\|$ represents the l_∞ norm of $s_g^{(j)}$.

3.3. Model establishment and solution

Based on the above two norm constraints, the low rank sparse decomposition model is obtained by replacing the low rank part and sparse part in equation (5), which can be expressed as:

$$\min_{L, S} \|L\|_{w, S_p}^p + \lambda \Omega(S), s.t. \quad D = L + S \quad (9)$$

The above optimization problem is solved by ALM algorithm. The specific solution steps are as follows.

1) The augmented Lagrange function is constructed.

$$L(L, S, Y; \mu) = \|L\|_{w, S_p}^p + \lambda \Omega(S) + \langle Y, D - L - S \rangle + \frac{\mu}{2} \|D - L - S\|_F^2 \quad (10)$$

Where μ is a positive penalty factor. Y is the Lagrange multiplier vector. $\|\cdot\|_F$ represents the F norm of the matrix.

2) Solve the following three sub problems.

$$L = \arg \min_L L(L, S, Y; \mu) \quad (11)$$

$$S = \arg \min_S L(L, S, Y; \mu) \quad (12)$$

$$Y = Y + \mu(D - L - S) \quad (13)$$

3) Fix S and Y and solve L .

$$L_{k+1} = \arg \min_L \frac{1}{\mu_k} \|L\|_{w, S_p}^p + 0.5 \|L - (D - S_k + \frac{Y_k}{\mu_k})\|_F^2 \quad (14)$$

The generalized soft threshold algorithm (GST) is used to solve equation (14).

4) Fix L and Y and solve S .

$$S_{k+1} = \arg \min_S \frac{\lambda}{\mu_k} \Omega(S) + 0.5 \|D - L_{k+1} + \frac{Y_k}{\mu_k} - S\|_F^2 \quad (15)$$

5) Fix L and S and solve Y .

$$Y_{k+1} = Y_k + \mu_k(D - L_{k+1} - S_{k+1}) \quad (16)$$

6) Update μ_k .

$$\mu_{k+1} = \min(\rho \mu_k, 10^7 \mu_k) \quad (17)$$

4. Experimental results and analysis

4.1. Experimental environment

In order to verify the effectiveness of the proposed algorithm, nine videos with complex background characteristics in three mainstream databases: I2R [25], CDnet2014 [26] and Wallflower [27] are selected for comparative experiments. I2R: Airport, Bootstrap, Curtain, SwitchLight and WaterSurface; CDnet2014: Highway, Office; Wallflower: CamouFlage, WavingTrees. The characteristics of the selected dataset are shown in Table 1.

Table 1. Information of 9 video datasets for comparison

Dataset	Video resolution	Scene feature
Airport	176×144	Dynamic background, changing time information on the frame
Bootstrap	160×120	Static background, some moving people in the room
Curtain	160×128	Dynamic background, the curtains moving slowly and light change gradually
SwitchLight	160×128	Dynamic background, light mutation
WaterSurface	160×128	Dynamic background, watersurface fluctuation
Highway	360×240	Dynamic background, the high speed vehicle and the moving shadow
Office	360×240	Staticback ground, a people moving locally with strong light
CamouFlage	160×120	Dynamic background, background with a changing screen
WavingTrees	160×120	Dynamic background, the swinging branch

100 frames are selected equidistantly for each video data set, and compared with the mainstream GoDec, PCP, LSD, WNNM and WSNM algorithms. The running environment of all experiments in this paper is Intel (R) core (TM) i7-8550U, 1.80GHz 8GB memory and matlab2017a [28-30]. All the experimental results are processed by median filter.

According to the experience of existing algorithms, the termination condition used in this experiment is $\|D - L_{k+1} - S_{k+1}\|_F^2 / \|D\|_F^2 \leq 10^{-7}$, and the value of some parameters are: $\varepsilon=10^{-16}$, $\rho=1.05$, $\lambda = 1/\sqrt{m}$, $\mu_1 = 12.6/\sigma_1(D)$, $\sigma_1(D)$ represents the maximum singular value of matrix D. For the value of parameter p, according to the quantitative experiment in literature [18], when p=0.7, the recovery effect is the best and the sensitivity to rank and noise is the smallest. Therefore, p is taken as 0.7 in this paper. Please refer to references [13,14,15,17,20] for the specific experimental parameters of the comparison algorithm. The value of C will be discussed in 4.2.

4.2. Evaluation index

The comprehensive measurement index F-measure is used to evaluate the separation effect, and its expression is:

$$F = \frac{2rp}{r+p} \quad (18)$$

Where $p = \frac{TP}{TP+FP}$ denotes precision, that is, the ratio of foreground pixels correctly recovered by the algorithm to all foreground pixels recovered by the algorithm. $r = \frac{TP}{TP+FN}$ denotes recall, that is, the ratio of foreground pixels correctly recovered by the algorithm to real foreground pixels. TP indicates that the foreground pixels are judged as foreground pixels, that is, the number of foreground pixels judged correctly by the algorithm. FP indicates that the background pixel is judged as the foreground pixel, that is, the number of foreground pixels judged wrong by the algorithm. FN indicates that the foreground pixels are judged as background pixels, that is, the number of foreground pixels not judged by the algorithm [31]. According to equation (14), the higher the value of F-measure, the better the separation effect.

Taking the airport dataset as an example, other parameters are fixed, the value of C is between [0.1,1], and the analysis is carried out in steps of 0.1. As can be seen from figure 7, when C= 0.1, the F-measure value is the highest, so C in this paper is 0.1.

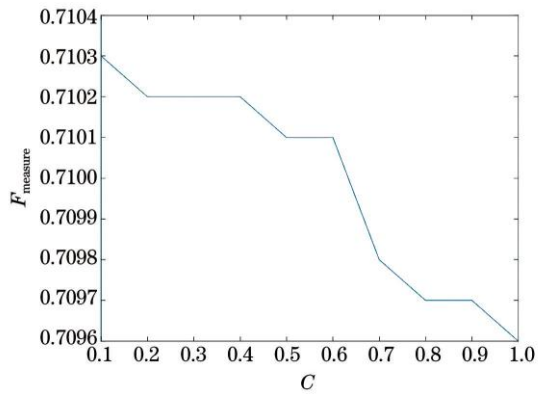


Figure 7. F-measure values under the different C values on Airport dataset.

4.3. Comparison experiments

The number of real foreground frames provided by different databases also varies. I2R provides 20 frames, CDnet2014 provides all the real foreground frames, and Wallflower only provides the most representative one frame. The experimental results obtained are shown in figure 8, and F values under quantitative experiment are given in Table 2.

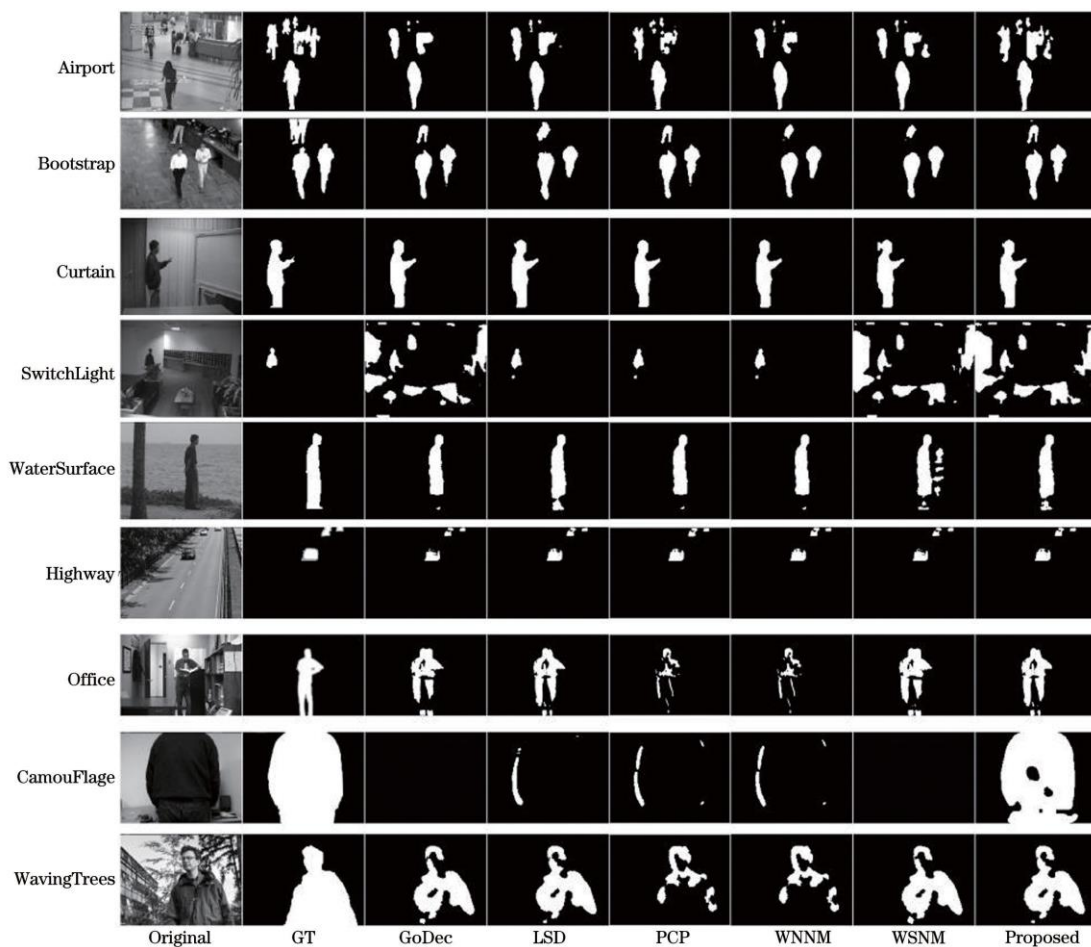


Figure 8. Results of six algorithms on nine sequences

Table 2. F values with different methods

video	F-measurement					
	GoDec	LSD	PCP	WNNM	WSNM	Proposed
airport	0.6712	0.7384	0.6712	0.6712	0.6277	<u>0.7214</u>
bootstrap	0.5221	<u>0.5237</u>	0.5199	0.5199	0.4977	0.5246
curtain	0.8418	<u>0.8707</u>	0.8420	0.8418	0.8381	0.8725
switchlight	0.1379	0.7995	<u>0.8077</u>	0.8100	0.1401	0.1433
watersurface	0.8850	0.9281	0.8788	0.8772	0.8523	<u>0.9207</u>
highway	0.8163	0.8973	<u>0.8998</u>	0.9005	0.8254	0.8980
office	0.5024	0.4758	0.3888	0.3903	0.5054	0.4759
camouflage	0.1221	0.1767	<u>0.2264</u>	0.2259	0.0478	0.9598
wavingtrees	0.7168	0.7324	0.4240	0.4257	0.7192	<u>0.7319</u>
average	0.5672	<u>0.6726</u>	0.6176	0.6180	0.5574	0.6942

Figure 8 shows the foreground effects of GoDec, LSD, PCP, WNNM, WSNM and the proposed in this paper in nine scenarios (airport, bootstrap, curtain, switchlight, watersurface, highway, office, camouflage and wavingtrees). It can be seen from the bootstrap data set that the proposed algorithm is not much different from other methods for front background separation under static background. It can be seen from the switchlight dataset that the method in this paper cannot be applied to the case of sudden illumination change, but it has excellent separation effect under other complex and changeable dynamic backgrounds. Especially on the camouflage dataset, its background is a changing computer screen [32-34]. When other algorithms fail, the proposed algorithm can still correctly separate the foreground and background, It shows the excellent performance. From the measurement index F values in Table 2, it can be seen that most F values with dynamic background in the nine data sets belong to the highest value or the second highest value, and the average F value of the proposed in this paper is the highest in all methods [35-40]. Therefore, in a comprehensive view, the performance of new method is better than other comparison algorithms on the whole.

5. Conclusion

Based on the above two constraints, the low rank and sparse parts are modeled respectively, and a low rank sparse decomposition model for video foreground and background separation is proposed. The low rank part

adopts the symmetrical frame difference method, which can more accurately approximate the rank function, suppress the noise generated during measurement. It is more suitable for background modeling. The sparse part adopts the structured sparse norm based on overlapping groups, and uses the foreground structure information to judge the foreground target more accurately, which is more conducive to foreground modeling. Experimental results show that the proposed algorithm is not robust to sudden illumination scenes, but it can obtain good separation effect in static background and better separation effect in complex and diverse dynamic background. In the next work, not only the sudden change of illumination should be considered to make it more robust, but also the movement of camera should be considered.

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