

Precoded MDC-STBC Scheme With Half-Symbol Decodable Receiver

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Abstract—It is well known that Space-Time Block Codes from orthogonal designs (O-STBC) are linearly Maximum-Likelihood (ML) decodable. However there are not full rate complex O-STBC designs except for two transmit-antennas. Recently, one class of minimum-decoding-complexity STBCs (MDC-STBC) have been studied: (1) they have higher rate than O-STBC; (2) they are single-symbol decodable (SSD) and (3) they can exploit full diversity by constellation rotation (CR). In this paper, we employ precoding technology for these MDC-STBCs to realize: higher-rate, full-diversity, maximum coding gain and half-symbol decodable (HSD), where HSD has the lower complexity than SSD. Simulation results for MDC-STBCs with 4 transmit antennas show that the proposed precoder scheme with HSD decoder can get the same diversity gain and an extra 1dB coding gain than the schemes with CR, only at the cost of 3 bits feedback information for quantifying the precoder.

Key words: Quasi-orthogonal space-time block coding (QOSTBC), Minimum Decoding Complexity (MDC), Single-Symbol Decodable (SSD), precoding, feedback

I. INTRODUCTION

Coding for multiple-input multiple-output (MIMO) channels can significantly increase transmission reliability over wireless fading channels. For the system with two transmit antennas, the space-time block coding (STBC) scheme proposed by Alamouti is a noted full-diversity scheme, which has been employed in many communication systems (named as STTD in R99 of UMTS). For the system with more than two transmit antennas, Tarokh extended the theory of STBC in [1]. However the symbol-transmission rate of these orthogonal-STBCs (O-STBC) is smaller than one.

As to the system with four transmit antennas, a quasi-orthogonal STBC (QO-STBC) with full rate is proposed in [2] that provide half of the maximum possible diversity and need maximum-likelihood (ML) detection to the pairs of transmitted symbols. And it was modified in [3] by constellation rotation (CR) to exploit the full diversity and in the meantime become real-symbol pair-wise ML decoding, which has same complexity as single-symbol decodable (SSD). Subsequently, coordinate interleaved orthogonal design (CIOD) has been proposed in [4] to also provide full diversity (after CR) and full rate with even SSD. Then the

authors of [5-7] have proposed another kind of quasi-orthogonal STBC independently. And the name of “minimum-decoding-complexity (MDC)” (same as SSD actually) happened to coincide. These schemes have the similar performance while the expression in [5, 7] is more concise so that the coding and decoding is a little simpler than that of [6]. In [8], Karmakar gave a comprehensive summary about MDC-STBCs and introduced their construction method based on Clifford algebras. Due to the property of full diversity, higher rate than O-STBC and SSD complexity, the MDC-STBCs should be readily applied to future wireless communication systems, such as beyond 3G, IEEE 802.11n or 802.16m, when more than two transmit antennas are employed. The CIOD, which requires some of the transmit antennas to be turned off regularly so as to introduce high peak-to-average power ratio, and the other high rate STBCs based on cyclic division algebras with high decoding complexity are excluded in this paper. And only the square or rectangular constellations in common use are considered in the paper.

Precoding technology can improve the link reliability for STBCs, as discussed in [9]. Therefore, the precoder over STBC was designed to combat channel correlation in [10]. Many papers also studied the design criterion of precoding, such as minimization of pair-wise error probability in [11]. In [12], a multimode precoder design was investigated, which varies the number of streams depending on the channel condition. In this paper, we propose a novel precoding scheme for MDC-STBCs based on the particularity of the equivalent channel matrices of MDC-STBCs. Not only the performance can be improved, but also the decoding complexity will be lessened.

The rest of the paper is organized as follows. Section II gives the expanded real system model for linear dispersion STBC systems. After introducing the construction method of MDC-STBCs with arbitrary transmit antennas in Section III, a precoder scheme for these MDC-STBCs is proposed in Section IV. At the same time, its decoding complexity and performance are analyzed. Then, the simulation results for MDC-STBC with 4 transmit antennas in Section V show the proposed precoder with quantified feedback information is surely effective. Finally, conclusions are drawn in Section VI.

Notation: For a complex number x , x^R , x^I and x^* denote its real part, imaginary part and conjugation respectively.

\mathbf{X}^H stands for the conjugated transposition of the matrix \mathbf{X} . \mathbf{X}^R is the matrix composed of the real part of each element of \mathbf{X} , similarly, \mathbf{X}^I composed of the imaginary part. The n -th row of \mathbf{X} is denoted as $(\mathbf{X})_n$, and the n -th column of \mathbf{X} as $[\mathbf{X}]_n$. The full-face $\mathbf{0}$ means zeros matrix.

II. LINEAR DISPERSION STBC

Suppose an MIMO system with N_t transmit antennas and N_r receive antennas, the channel is single-path and block fading in an interval of T symbols. According to the introduced model in [13], the transmitted STC matrix can be written as a $N_t \times T$ matrix \mathbf{S} , which is composed of Q complex symbols $s_q = s_q^R + js_q^I$ ($q=1, \dots, Q$) and their dispersion matrices of size $N_t \times T$ $\{\mathbf{A}_q, \mathbf{B}_q\}$ are:

$$\mathbf{S} = \sum_{q=1}^Q (s_q^R \mathbf{A}_q + s_q^I \mathbf{B}_q) \quad (1)$$

here $j = \sqrt{-1}$ is absorbed in \mathbf{B}_q . So the code rate of this linear dispersion STBC is defined as $R=Q/T$.

Then the system model can be expressed as:

$$\mathbf{Y} = \mathbf{H}\mathbf{S} + \mathbf{N} \quad (2)$$

where \mathbf{Y} is the received signal matrix, its element $y_{k,t}$ is the received symbol at the k -th receive antenna and the t -th interval; \mathbf{H} is the channel matrix, and its element $h_{k,l}$ is the complex channel gain from the l -th transmit antenna to k -th receive antenna, which is independent complex Gaussian random variable with zero mean and unit variance; \mathbf{N} is the noise matrix, and its element $n_{k,t}$ is a complex white Gaussian noise element with zero mean and covariance σ_n^2 . Here, we omit the factor of power normalization before \mathbf{S} , which can be considered when calculating noise power for a given SNR.

Then we decompose each matrix into its real part and imaginary part:

$$\mathbf{Y}^R = \sum_{q=1}^Q [(\mathbf{H}^R \mathbf{A}_q^R - \mathbf{H}^I \mathbf{A}_q^I) s_q^R + (\mathbf{H}^R \mathbf{B}_q^R - \mathbf{H}^I \mathbf{B}_q^I) s_q^I] + \mathbf{N}^R \quad (3)$$

$$\mathbf{Y}^I = \sum_{q=1}^Q [(\mathbf{H}^R \mathbf{A}_q^I + \mathbf{H}^I \mathbf{A}_q^R) s_q^R + (\mathbf{H}^R \mathbf{B}_q^I + \mathbf{H}^I \mathbf{B}_q^R) s_q^I] + \mathbf{N}^I$$

Let

$$\tilde{\mathbf{A}}_q = \begin{bmatrix} \mathbf{A}_q^R & \mathbf{A}_q^I \\ -\mathbf{A}_q^I & \mathbf{A}_q^R \end{bmatrix}, \tilde{\mathbf{B}}_q = \begin{bmatrix} \mathbf{B}_q^R & \mathbf{B}_q^I \\ -\mathbf{B}_q^I & \mathbf{B}_q^R \end{bmatrix} \quad (4)$$

$$\underline{\mathbf{h}}_t = [(\mathbf{H}^R)_t, (\mathbf{H}^I)_t] \quad (5)$$

Therefore, the equation group of (3) can be rewritten as:

$$\tilde{\mathbf{y}} = \tilde{\mathbf{H}}\tilde{\mathbf{x}} + \tilde{\mathbf{n}} \quad (6)$$

where

$$\tilde{\mathbf{H}} = \begin{bmatrix} (\underline{\mathbf{h}}_1 \tilde{\mathbf{A}}_1)^T & (\underline{\mathbf{h}}_1 \tilde{\mathbf{B}}_1)^T & \cdots & (\underline{\mathbf{h}}_1 \tilde{\mathbf{A}}_Q)^T & (\underline{\mathbf{h}}_1 \tilde{\mathbf{B}}_Q)^T \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ (\underline{\mathbf{h}}_{N_r} \tilde{\mathbf{A}}_1)^T & (\underline{\mathbf{h}}_{N_r} \tilde{\mathbf{B}}_1)^T & \cdots & (\underline{\mathbf{h}}_{N_r} \tilde{\mathbf{A}}_Q)^T & (\underline{\mathbf{h}}_{N_r} \tilde{\mathbf{B}}_Q)^T \end{bmatrix}$$

$$\tilde{\mathbf{y}} = \begin{bmatrix} [\mathbf{Y}^R]_1 \\ [\mathbf{Y}^I]_1 \\ \vdots \\ [\mathbf{Y}^R]_{N_r} \\ [\mathbf{Y}^I]_{N_r} \end{bmatrix}, \tilde{\mathbf{x}} = \begin{bmatrix} s_1^R \\ s_1^I \\ \vdots \\ s_Q^R \\ s_Q^I \end{bmatrix}, \text{ and } \tilde{\mathbf{n}} = \begin{bmatrix} [\mathbf{N}^R]_1 \\ [\mathbf{N}^I]_1 \\ \vdots \\ [\mathbf{N}^R]_{N_r} \\ [\mathbf{N}^I]_{N_r} \end{bmatrix}$$

are the equivalent real channel matrix, received vector, transmitted vector and noise vector, respectively.

III. CONSTRUCTION OF MDC-STBC

Before explaining our precoding scheme, we firstly introduce how to construct the needed MDC-STBC in this section. Firstly for the system with even transmit antennas, then with odd antennas.

A. From O-STBC to MDC-STBC

Assuming an O-STBC consists of $Q/2$ sets of dispersion matrices denoted as $\{\underline{\mathbf{A}}_p, \underline{\mathbf{B}}_p\}$ ($1 \leq p \leq Q/2$) for $N_t/2$ transmit antennas with time interval $T/2$. These $\{\underline{\mathbf{A}}_p, \underline{\mathbf{B}}_p\}$ have the following properties [8] (the following expressions are different from [5] because j is not included in the dispersion matrices in (1) in [5]):

$$\underline{\mathbf{A}}_p^H \underline{\mathbf{A}}_p = \underline{\mathbf{B}}_p^H \underline{\mathbf{B}}_p = \mathbf{I}_{N_t/2} \quad (7-i)$$

$$\underline{\mathbf{A}}_{p_1}^H \underline{\mathbf{A}}_{p_2} + \underline{\mathbf{A}}_{p_2}^H \underline{\mathbf{A}}_{p_1} = 0, \underline{\mathbf{B}}_{p_1}^H \underline{\mathbf{B}}_{p_2} + \underline{\mathbf{B}}_{p_2}^H \underline{\mathbf{B}}_{p_1} = 0 \quad (p_1 \neq p_2) \quad (7-ii)$$

$$\underline{\mathbf{A}}_{p_1}^H \underline{\mathbf{B}}_{p_2} + \underline{\mathbf{B}}_{p_2}^H \underline{\mathbf{A}}_{p_1} = 0 \quad (p_1 \neq p_2) \quad (7-iii)$$

Here, only (7-ii) and (7-iii) are the sufficient and necessary condition for an O-STBC. Generally, unitary weighting (UW) can simplify matrix multiplication and keep lower PAPR. So we discuss the UW-STBC and the condition (1) appears.

According to [5, 8], the dispersion matrices of O-STBC can be used to build an MDC-STBC, which consists of Q sets of dispersion matrices $\{\mathbf{A}_q, \mathbf{B}_q\}$ ($1 \leq q \leq Q$) for N_t transmit antennas with interval T , when the following mapping rules are satisfied:

$$\mathbf{A}_q = \begin{cases} \begin{bmatrix} \underline{\mathbf{A}}_p & \mathbf{0} \\ \mathbf{0} & \underline{\mathbf{A}}_p \end{bmatrix}, (q=p) \\ \begin{bmatrix} \underline{\mathbf{B}}_p & \mathbf{0} \\ \mathbf{0} & \underline{\mathbf{B}}_p \end{bmatrix}, (q=\frac{Q}{2}+p) \end{cases}, \mathbf{B}_q = \begin{cases} \begin{bmatrix} \mathbf{0} & -\underline{\mathbf{A}}_p \\ -\underline{\mathbf{A}}_p & \mathbf{0} \end{bmatrix}, (q=p) \\ \begin{bmatrix} \mathbf{0} & \underline{\mathbf{B}}_p \\ \underline{\mathbf{B}}_p & \mathbf{0} \end{bmatrix}, (q=\frac{Q}{2}+p) \end{cases} \quad (8)$$

Using (7) and (8), it is easy to prove that $\{\mathbf{A}_q, \mathbf{B}_q\}$ satisfy the following properties (Generally, there must be an identity matrix in $\{\mathbf{A}_q, \mathbf{B}_q\}$, so we let $\mathbf{A}_1 = \mathbf{I}$):

$$\mathbf{A}_q^H = -\mathbf{A}_q \quad (q \geq 2) \quad (9-i)$$

$$\mathbf{A}_{q_1}^H \mathbf{A}_{q_2} + \mathbf{A}_{q_2}^H \mathbf{A}_{q_1} = 0 \quad (q_1 \neq q_2) \quad (9-ii)$$

$$\mathbf{B}_p^H = \mathbf{B}_p \quad (9-iii)$$

$$\mathbf{B}_1 \mathbf{A}_q = \mathbf{A}_q \mathbf{B}_1 \quad (9-iv)$$

$$\mathbf{B}_q = \pm \mathbf{B}_1 \mathbf{A}_q \quad (q \geq 2) \quad (9-v)$$

TABLE I. COMPARISON BETWEEN HSD AND SSD

	QPSK	16QAM	64QAM	256QAM
HSD	2×2=4	4×2=8	8×2=16	16×2=32
SSD	4	16	64	256
ratio	100%	50%	25%	12.5%

$$\min_{s_1, \dots, s_Q} \left\| \tilde{\mathbf{H}}^T \tilde{\mathbf{y}} - \tilde{\mathbf{H}}^T \tilde{\mathbf{H}} \tilde{\mathbf{x}} \right\|^2 \Leftrightarrow \begin{cases} \min_{s_q^R} \left\| \left(\tilde{\mathbf{H}}^T \tilde{\mathbf{y}} \right)_{2q-1} - r s_q^R \right\|^2 \\ \min_{s_q^I} \left\| \left(\tilde{\mathbf{H}}^T \tilde{\mathbf{y}} \right)_{2q} - r s_q^I \right\|^2 \end{cases} \quad (1 \leq q \leq Q) \quad (25)$$

When the modulation level is high, the advantage of low complexity for HSD is distinct comparing with SSD. In Table I, they are measured by the search times for estimating a complex symbol. Because of the decoupling of real part and imaginary part, HSD only do searching in a smaller search space, which ratio to that of SSD is exponentially decreased with the increase of modulation level.

D. Analysis of performance

For the system model (20), it ML decoding metric should be

$$\min_{s_1, \dots, s_Q} \left\| \mathbf{Y}' - \mathbf{H}' \mathbf{S} \right\|^2 \quad (26)$$

Now, observing the used matched filter $\tilde{\mathbf{H}}^T$, due to $\tilde{\mathbf{H}}^T \tilde{\mathbf{H}}' = r \cdot \mathbf{I}_{2Q}$, $\tilde{\mathbf{H}}^T$ should be an orthogonal matrix, in which each column has the same norm r and is orthogonal with one another. Then according to the matrix theory [15], the following relation is satisfied:

$$\left\| \tilde{\mathbf{H}}^T \right\|^2 \left\| \tilde{\mathbf{y}} - \tilde{\mathbf{H}} \tilde{\mathbf{x}} \right\|^2 = \left\| \tilde{\mathbf{H}}^T (\tilde{\mathbf{y}} - \tilde{\mathbf{H}} \tilde{\mathbf{x}}) \right\|^2 = \left\| \tilde{\mathbf{H}}^T \tilde{\mathbf{y}} - \tilde{\mathbf{H}}^T \tilde{\mathbf{H}} \tilde{\mathbf{x}} \right\|^2 \quad (27)$$

So we can derive the relation between (26) and (25):

$$\begin{aligned} & \min_{s_1, \dots, s_Q} \left\| \mathbf{Y}' - \mathbf{H}' \mathbf{S} \right\|^2 \\ & \Leftrightarrow \min_{s_1, \dots, s_Q} r^{2Q} \cdot \left\| \tilde{\mathbf{y}} - \tilde{\mathbf{H}} \tilde{\mathbf{x}} \right\|^2 = \min_{s_1, \dots, s_Q} \left\| \tilde{\mathbf{H}}^T \right\|^2 \left\| \tilde{\mathbf{y}} - \tilde{\mathbf{H}} \tilde{\mathbf{x}} \right\|^2 \quad (28) \\ & = \min_{s_1, \dots, s_Q} \left\| \tilde{\mathbf{H}}^T \tilde{\mathbf{y}} - \tilde{\mathbf{H}}^T \tilde{\mathbf{H}} \tilde{\mathbf{x}} \right\|^2 \end{aligned}$$

That is to say, this algorithm of decoding the filtered system model (24) is equivalent with that of decoding the original system model (20) using ML criterion. Namely, (25) is the optimal solution of (20), although we use the different decoding with [5]. It is noted that this conclusion is right only if the matched filter matrix is orthogonal.

Obviously, it is the specific precoder design that makes the matched filter matrix orthogonal. And due to the zero-forcing interference between the pair of real symbols, the precoder will improve the link performance, comparing with the system without precoder.

E. Examples

In the same way, we give some examples of the exact precoder matrix.

For S_1 and S_2 in subsection A, they may use the precoder with the same form:

$$\mathbf{P} = \begin{bmatrix} 1 & & & \\ & 1 & & \\ & & e^{j\theta} & \\ & & & e^{j\theta} \end{bmatrix} \quad \text{where } \theta = -\angle \left(\sum_{k=1}^{N_t} (h_{k,1}^* h_{k,3} + h_{k,2}^* h_{k,4}) \right)$$

for S_1 and $\theta = \frac{\pi}{2} - \angle \left(\sum_{k=1}^{N_t} (h_{k,1}^* h_{k,3} - h_{k,2}^* h_{k,4}) \right)$ for S_2 .

Factually, according to the design of (21) and (23), the precoder matrix is not unique. Here, only if any pair of the four transmitted antennas rotates the phase by the corresponding θ , the precoder is just feasible.

Extend to other transmit antenna number, the design is still effective. Take an example for $N_t=5$, the space-time coding matrix can be got by cancelling the three rows of MDC-STBCs with $N_t=8$:

$$\begin{pmatrix} s_1^R + j s_4^R & s_2^R + j s_5^R & \frac{s_3^R + j s_6^R}{\sqrt{2}} & \frac{s_3^R + j s_6^R}{\sqrt{2}} & -s_1^I + j s_4^I & -s_2^I + j s_5^I & \frac{s_3^I + j s_6^I}{\sqrt{2}} & \frac{s_3^I + j s_6^I}{\sqrt{2}} \\ -s_2^R + j s_5^R & s_1^R - j s_4^R & \frac{s_3^R + j s_6^R}{\sqrt{2}} & \frac{-s_3^R - j s_6^R}{\sqrt{2}} & s_2^I + j s_5^I & -s_1^I - j s_4^I & \frac{-s_3^I + j s_6^I}{\sqrt{2}} & \frac{s_3^I - j s_6^I}{\sqrt{2}} \\ \frac{s_3^R - j s_6^R}{\sqrt{2}} & \frac{s_3^R - j s_6^R}{\sqrt{2}} & -s_1^R + j s_4^R & -s_2^R + j s_5^R & \frac{-s_3^I - j s_6^I}{\sqrt{2}} & \frac{-s_3^I - j s_6^I}{\sqrt{2}} & s_1^I + j s_4^I & s_2^I + j s_5^I \\ \frac{s_3^R - j s_6^R}{\sqrt{2}} & \frac{-s_3^R + j s_6^R}{\sqrt{2}} & s_2^R + j s_4^R & -s_1^R - j s_5^R & \frac{-s_3^I - j s_6^I}{\sqrt{2}} & \frac{s_3^I + j s_6^I}{\sqrt{2}} & -s_2^I + j s_5^I & s_1^I - j s_4^I \\ -s_1^I + j s_4^I & -s_2^I + j s_5^I & \frac{-s_3^I + j s_6^I}{\sqrt{2}} & \frac{-s_3^I + j s_6^I}{\sqrt{2}} & s_1^R + j s_4^R & s_2^R + j s_5^R & \frac{s_3^R + j s_6^R}{\sqrt{2}} & \frac{s_3^R + j s_6^R}{\sqrt{2}} \end{pmatrix}$$

Then for it, the optimal precoder should be

$$\mathbf{P} = \begin{bmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & e^{j\theta} \end{bmatrix}, \quad \text{where } \theta = -\angle \left(\sum_{k=1}^{N_t} (h_{k,1}^* h_{k,5}) \right).$$

Now, we notice that the precoder only needs a parameter θ factually for these space-time coding matrix. When the system is channel-reciprocal, such as TDD system with low moving speed, we can easily get the channel coefficients to calculate the value of θ and generate the precoder matrix. When the channel-reciprocity does not hold, the index of a quantified θ can be fed back from the receiver to the transmitter. The proposed precoder is still valid. Only when the feedback bits are very limited, the quantification error isn't neglectable, the receiver cannot employ the HSD detection, but the SSD detection. However, the performance advantage due to the interference partly-cancellation after precoding still exists.

V. SIMULATION RESULTS

In this section, we testify the performance of the proposed precoding scheme for MDC-STBC by simulation. Table II gives the simulation parameters. Because MDC-STBCs only obtain the full diversity gain when CR is employed [5], we use the MDC-STBC in [5] with CR as the reference scheme. And for the precoded MDC-STBC, both the ideal feedback and the quantified feedback with different feedback bits are considered in the simulation. For m -bit feedback scheme without feedback delay, the phase θ is quantified as $[0, \pi/2^m, \dots, (2^m - 1)\pi/2^m]$.

TABLE II. SIMULATION PARAMETERS

$N_t \times N_r$	4 x 1
Channel	Single-path Rayleigh channel
Modulation	QPSK
Detection algorithm	ML (SSD for system without precoder; HSD for that with precoder)

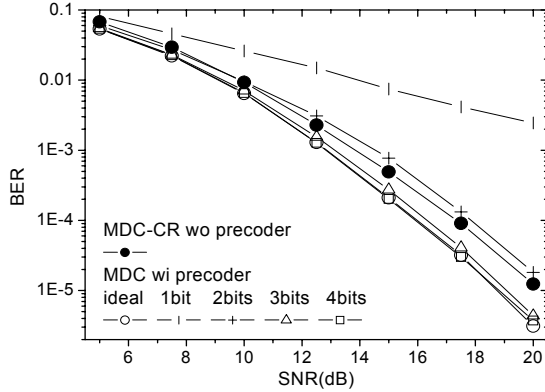


Figure 2. Performance comparison of precodered MDC-STBCs with different feedback bits

From Fig.2, we can see:

(1) The precodered MDC schemes with ideal feedback has the same slope to MDC-CR, that is the same diversity gain. The equivalent channel matrix in model (24) is a diagonal matrix with entries r , which be expressed as (14-i). That means this channel can offer the full diversity order and the maximum coding gain according to the lattice theory [16]. The gap between the curve of ideal feedback scheme and that of MDC-CR is just the earned extra coding gain due to the reconstructive perfect channel matrix by precoder.

(2) When the feedback of θ is not ideal, the coding gain will be partly lost. But the loss for the schemes of 3 bits and 4 bits feedback is small. For the scheme with 1 bit scheme, the precoder is meaningless due to the large quantification error.

Then considering the tradeoff between the performance and the quantity of feedback bits, 3 bits feedback is preferred. That is to say, comparing with the MDC-STBC scheme with CR, a precoder with 3 bits feedback can avoid the CR operation and exploit the extra 1dB coding gain, while leading to a simpler decoder.

VI. CONCLUSION

In this paper, we focus on the precoding scheme for MDC-STBCs. After introducing the linear dispersion form for STBC, we explained how to construct an MDC-STBCs for the system with arbitrary antenna number in detail. Just for these MDC-STBCs, a precoding scheme was proposed, in which the precoder is a diagonal matrix with a phase parameter and designed to cancel the interference between to the real part and the imaginary part of the transmitted information symbols. The analysis of the decoding complexity and the ML decoding metric showed the employment of precoder make the decoding half-symbol

decodable, while keeping the full diversity gain and maximum coding gain. Considering the issues of the limited feedback in the practical systems, we gave the performance comparison of the precodered schemes with the different feedback bits. Simulation results show that only 3 bits are enough for the precoder of MDC-STBCs with $N_t=4$ and the precodered scheme has a 1dB gain than the scheme with full diversity gain by constellation rotation.

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