Haptic Feedback in Motor Hand Virtual Therapy Increases Precision and Generates Less Mental Workload

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Abstract—In this work we show that haptic feedback in upper limb motor therapy improves performance and generates a lower mental workload. To demonstrate this, two groups of participants (healthy adults and elders with hand motor problems) used a low-cost haptic device (Novint Falcon) and a non-robotic device (Leap Motion Controller). Participants conducted the same rehabilitation task by using a non-immersive virtual environment. Results show significant differences for all participants regarding precision on the use of the haptic feedback device. Additionally, participants in the older adult group demonstrated a lower mental workload while using the haptic device (Novint Falcon). Finally, qualitative results show that participants preferred to conduct their therapy exercises by using the haptic device, as they found it more useful, easier to use and easier to learn.

Keywords—Haptic Feedback, Mental Workload, Motor Rehabilitation, Virtual Environment.

I. INTRODUCTION

Since more than 20 years ago specialists (i.e. therapists, physicians) incorporated the use of virtual environments (VEs) into upper limb motor rehabilitation [1]–[3]. This allowed to increase the intensity of the exercises, adapt repetitive tasks in real time, and obtain objective results from patients [4]. Depending on the state of the patient, VEs are used in hospitals, rehabilitation centers or patients’ homes [5], and this under the supervision of a local or remote specialist [6].

To demonstrate the effectiveness of VEs in the upper limb motor therapy domain, several authors have evaluated their proposal with specialists and patients [7], [8]. These evaluations cover different perspectives, including usability, performance, and knowledge transfer from the virtual to the real environment, among others. From the usability perspective, specialists and patients use the VE to conduct a rehabilitation task and respond standard questionnaires to indicate their perception on usability factors (e.g. Technology Acceptance Model [9], System Usability Scale [10]) [11]. Additionally, from the performance perspective, the capability of the patient to conduct the exercises or tasks in the VE is evaluated, e.g. [12]–[14]. Finally, regarding knowledge transfer from the virtual to the real environment, it is determined by evaluating the patient after several therapy sessions by means of diverse clinical tests (e.g. Fulg-Meyer [15], Box and Block Test [16]) [17]. The clinical tests measure different aspects, for instance, the function and structure of the body and the activities of daily living, among others [18].

By executing therapy exercises, the patient interacts with an immersive or non-immersive VE, e.g., [13], [19], [20]. To do so, the patient uses robotic or non-robotic devices to conduct the exercises [21]–[24]. Preliminary evidence suggests that upper limb therapy using robotic devices is more effective than conducting it with non-robotic devices [25]–[29]. For instance, Cameiraño et. al. [30] establishes that the results of the rehabilitation therapy of patients is closely related to the kind of device used.

However, demanding greater attention in the exercise can demand an excessive mental workload that may drive patients to abandon therapy. Kowalczewski and Prochazka [21], and Colombo et al. [31] establish therapy abandonment as one of the main problems in the motor rehabilitation process. For this reason, our main goal in this research is to determine the mental workload and performance of patients while they perform their motor hand therapy using a low cost robotic device (Novint Falcon haptic device [32]) and a (non-robotic) gesture sensor (Leap Motion Controller [33], [34]).

This paper is structured as follows. Section II presents related work on analysis of performance or mental workload in motor-hand virtual rehabilitation. Section III presents the methodology used to conduct our evaluation. The results of the evaluation in terms of performance and mental workload are presented in Section IV. Finally, Section V discusses our results and future research directions.

II. RELATED WORK

Performance and mental workload analysis allows to determine the effectiveness of a task by means of several devices or ways to provide feedback to a user. Weber et al. [35] conducted a task consisting in solving a cube puzzle in a VE with force, vibrotactile and visual feedback. The task was assigned to 30 students from a German aerospatial center, and consisted in moving and solving a cube from an initial to a target position. The results show that participants had a better
performance when they were assisted with force feedback regarding precision, mental workload, and spatial orientation compared to vibrotactile and visual feedback systems. Collins et al. [36] analyses a haptic assisted training system for writing learning by using two haptic devices (Novint Falcon and Phantom Omni). Their results show that participants had a better performance (i.e. precision) in the trace of their letters when they are provided with haptic assistance. Furthermore, in their analysis they suggest the use of a Novint Falcon device in the initial training stage, in particular for students of special lectures; also they suggest that the Phantom Omni device could be used in more advanced training lessons using a more subtle haptic feedback. Cameirão et. al. [30] analyses the effect of a rehabilitation task based on a VE that uses three different interfaces: visual-based tracking, haptics, and a passive exoskeleton. In the experiment 44 chronic stroke patients participated, which were randomly assigned to a therapy task. Their results reveal significant improvements in almost all clinical scales used. Particularly, in the use of the VE with haptic feedback.

Our research determines the performance and mental workload of two groups of participants in a motor rehabilitation task by using a VE. To do so, 15 healthy older adults and 15 older adults with motor problems used two interaction devices. These devices were a low-cost robotic device and a hand gestural device.

III. METHOD

A. System Set-up

As a proof–of–concept of the design principles identified in our previous work [37], we developed the haptic maze VE. Haptic feedback is provided with the Novint Falcon haptic device and gesture feedback is provided with the Leap Motion controller. The Novint Falcon is a 3 degrees-of–freedom (dof) parallel robot that provides users with haptic feedback. It has a workspace of approximately 10.6 cm³. The Leap Motion controller is primarily designed for hand gesture and finger position detection in interactive software applications [34]. Open-source three-dimensional (3D) computer graphics software Blender [38] as well as the cross-platform game creation system Unity [39] were used to develop the VE. The user in the VE is represented by a proxy (or avatar) that moves in a 3D space. The collision detection algorithm is carried out with Unity libraries as well as with penalty–based methods [40], [41].

Our main objective in developing the haptic maze was to enhance the strength and wrist–movement accuracy of the patient. In the VE (Figure 1) we distinguish three main components: a) a configuration screen where the occupational therapist selects not only the visual features of the VE, but also the number of task repetitions, the simulated weight of the haptic proxy and the interaction device; b) the virtual maze; and c) the results screen where patient performance is displayed.

B. Participants

2 groups of 15 participants each were recruited. In the control group (G1), 15 healthy adults (8 women and 7 men, average age 55.73 ± 7.56 years) who live an independent life and have no apparent motor impairment problems served as participants. In the intervention group (G2), 15 elders (8 women and 7 men, average age 78.80 ± 11.30 years) with hand motor impairments, e.g., rheumatoid arthritis, were recruited from the elderly nursing homes “Casa Hogar del Anciano” and “Casa del Abuelo”, both located in Ensenada, Baja California, Mexico. All participants were able to move their hand, only 50% of the healthy older adults declared to have experience on the use of the computer, and none of them declared to have experience on haptic devices or movement sensors.

C. Experimental Design and Procedure

The experiment followed a within subjects paradigm, i.e., both groups performed the task under the following conditions:

C1. Virtual environment with auditory, visual and haptic feedback using the Novint Falcon haptic device (top image in Figure 2).

C2. Virtual environment with auditory and visual feedback using the Leap Motion Controller (bottom image in Figure 2).

Participants of both groups performed one task with each interaction device consisting of moving an object through the maze to virtually “touch” three doors of different colors in the indicated order—red, green and blue—(Figure 3) with a simulated proxy weight of 100 gr (when using the haptic device). The interaction device was randomly assigned to participants when they performed their exercise. While moving the object through the labyrinth, if the participant collided the avatar with a wall, he was provided with auditory, visual and haptic feedback (see top image in Figure 2) or auditory and visual feedback (see bottom image in Figure 2). After each exercise using any of these devices, each participant
Table I: Rating scale definitions and endpoints from the NASA-TLX [42].

<table>
<thead>
<tr>
<th>Title</th>
<th>Endpoints</th>
<th>Definitions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mental Demand</td>
<td>Low/High</td>
<td>How mentally demanding was the task?</td>
</tr>
<tr>
<td>Physical Demand</td>
<td>Low/High</td>
<td>How physically demanding was the task?</td>
</tr>
<tr>
<td>Temporal Demand</td>
<td>Low/High</td>
<td>How hurried or rushed was the pace of the task?</td>
</tr>
<tr>
<td>Performance</td>
<td>Perfect/Failure</td>
<td>How successful were you in accomplishing what you were asked to do?</td>
</tr>
<tr>
<td>Effort</td>
<td>Low/High</td>
<td>How hard did you have to work to accomplish your level of performance?</td>
</tr>
<tr>
<td>Frustration Level</td>
<td>Low/High</td>
<td>How insecure, discouraged, irritated, stressed, and annoyed were you?</td>
</tr>
</tbody>
</table>

IV. RESULTS

A. Performance data

Efficiency and Precision. The exercises were evaluated using objective performance data from the virtual environment, namely, the task execution time (efficiency) and the number of detected collisions with the virtual walls (precision of movement or accuracy). Table II presents a summary of the scores obtained by each participant. The scores were divided by time in seconds (efficiency) and number of collisions against the walls when the participant completed their exercise (precision), and this according to the interaction device used and participating group. We analysed the results of the therapy effectiveness in terms of performance of both groups (see Table III). As can be seen in Table III, we found no significant difference in task execution time (efficiency) between both devices, however we found significant difference on the precision of movement. Additionally, Table IV shows the results of the analysis between groups and devices. Based on the significant differences found with the Kruskal-Wallis test on the variance between groups, we later calculated the non-parametric Nemenyi test. Table IV, shows that there is a significant difference between G1 using the Novint Falcon haptic device and G2 using the Leap Motion controller on the precision of movement. In addition, we found significant difference in task execution time (efficiency) between G1 using the Novint Falcon haptic device and G2 using the Leap Motion controller.

B. Subjective data

Mental Workload. Table V shows a summary of the subjective mental workload results. As can be seen in Table III, we did not find a significant difference on mental workload between both devices for G1, but significant differences for G2. Analysing each of the mental workload sub scales for
TABLE II
EVALUATION RESULTS OF THE EXERCISE IN TERMS OF PERFORMANCE (TASK EXECUTION TIME AND NUMBER OF COLLISIONS). G1: GROUP OF HEALTHY ADULTS, G2: GROUP OF ELDERLY WITH HAND MOTOR IMPAIRMENTS. SD: STANDARD DEVIATION.

<table>
<thead>
<tr>
<th>Participant</th>
<th>Time (sec)</th>
<th>Number of collisions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Novint Falcon G1 (SD=24.46)</td>
<td>Novint Falcon G2 (SD=29.94)</td>
</tr>
<tr>
<td>1</td>
<td>46.13</td>
<td>74.74</td>
</tr>
<tr>
<td>2</td>
<td>51.35</td>
<td>109.74</td>
</tr>
<tr>
<td>3</td>
<td>31.12</td>
<td>57.68</td>
</tr>
<tr>
<td>4</td>
<td>43.87</td>
<td>84.48</td>
</tr>
<tr>
<td>5</td>
<td>44.03</td>
<td>58.39</td>
</tr>
<tr>
<td>6</td>
<td>94.37</td>
<td>79.57</td>
</tr>
<tr>
<td>7</td>
<td>64.41</td>
<td>47.37</td>
</tr>
<tr>
<td>8</td>
<td>26.94</td>
<td>27.26</td>
</tr>
<tr>
<td>9</td>
<td>33.88</td>
<td>46.33</td>
</tr>
<tr>
<td>10</td>
<td>69.34</td>
<td>89.51</td>
</tr>
<tr>
<td>11</td>
<td>110.24</td>
<td>130.48</td>
</tr>
<tr>
<td>12</td>
<td>35.32</td>
<td>52.13</td>
</tr>
<tr>
<td>13</td>
<td>26.47</td>
<td>44.74</td>
</tr>
<tr>
<td>14</td>
<td>42.32</td>
<td>84.56</td>
</tr>
<tr>
<td>15</td>
<td>38.30</td>
<td>21.25</td>
</tr>
</tbody>
</table>

G2 (see Table I) with a critical value: \( U_{0.01(15)} = 169 \), we did not find significant differences on mental demand \((U = 152)\), performance \((U = 63)\), and frustration level \((U = 158.5)\). However, we found significant differences on G2 on physical demand \((U' = 174.5)\), temporal demand \((U' = 192)\), and effort \((U' = 187)\). Lastly, Table IV shows that we found significant differences on mental workload between both groups and devices for G1 using the Leap Motion controller and G2 using the Leap Motion controller, and G1 using the Novint Falcon haptic device and G2 using the Leap Motion controller.

Perceived usefulness, ease of use, and learnability. Figure 4 shows the results of questions to both groups (G1 and G2): a) Which device do you consider that allows you to have a more effective result in the therapy exercise? b) Which of the two devices was easier to use? c) Which of the two devices was easier to learn to use to conduct the task? As can be seen in Figure 4, 80% of the participants of G1 and 86.7% of G2 considered that the Novint Falcon haptic device had greater usefulness (a). Additionally, Figure 4 shows that regarding the perception of ease of use (b), 86.7% of participants from G1 y 80% of participants from G2 considered the Novint Falcon haptic device as being easier to use. Lastly, regarding the perception on ease of learning (c), 86.7% of the participants from G1 and 80% of those from G2 considered the Novint Falcon haptic device as being easier to learn (Figure 4).

Following conversations with participants, two additional topics have emerged about the usefulness, ease of use and learnability of devices, namely, task control and task support, which we explain in the next paragraphs.

Task Control. An interesting theme that emerged from the study was that participants considered that they had greater control to conduct the task using the haptic device. They said that they were able to synchronize their movement in the task.

TABLE III
SUMMARY OF MANN-WHITNEY U TEST [43] ON H1 AND H2 HYPOTHESES (CRITICAL VALUE \( U_{0.01(15)} = 169 \)) BETWEEN DEVICES. G1: GROUP OF HEALTHY ADULTS, G2: GROUP OF ELDERLY WITH HAND MOTOR IMPAIRMENTS.

<table>
<thead>
<tr>
<th>Group</th>
<th>Statistical value</th>
<th>Significance of difference</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>( H1 ) (precision, ( \alpha = 0.01 ))</td>
<td>( H2 ) (mental workload, ( \alpha = 0.01 ))</td>
</tr>
<tr>
<td>G1</td>
<td>213.5</td>
<td>135</td>
</tr>
<tr>
<td>G2</td>
<td>201</td>
<td>189.5</td>
</tr>
<tr>
<td></td>
<td>Significant</td>
<td>Significant</td>
</tr>
</tbody>
</table>
Fig. 4. Results of questions to both groups (G1 and G2): a) Which device do you consider that allows you to have a more effective result in the therapy exercise? b) Which of the two devices was easier to use? c) Which of the two devices was easier to learn to conduct the task?

in real time. Thus, statistically they were more precise, and participants from G2 perceived less mental workload (Table III). Some of the comments of members of G1 were: “I think that the device actually did what I wanted to do, it is more useful” (P6), “with the Novint device the difficulty of the task can be changing gradually, thus it is more challenging” (P8), “the Novint is more useful because actually obeys you, the other does not obey you” (P14). In G2, some of the comments were: “the Novint is more useful because when it hits [the wall] you feel it” (P4), “to me this was something really new, it is as I could feel that I can touch something” (P9).

Task Support. Another interesting theme was that participants said that they felt being supported during the task while using the haptic device. This, because during their movements they felt the collisions of the proxy (their representation in the VE) and the walls of the maze. This perception of support was reflected in the significant differences in the precision of the task (see Table III). Some of the comments of participants from G1 were: “it is easier with the Novint Falcon device because you can feel the movement as you go” (P3), “I feel as it is easier [with the Novint] and I feel more secure while performing the exercise” (P5). Some of the comments from G2 were: “it is easier to move the hand with the Novint Falcon device, than having to hold your hand in the air” (P5), “it was easier [the Novint Falcon device] because there is not much problem due to having to hold your arm raised” (P6), “it is simpler [with the Novint Falcon device] because there is something to lean your arm to” (P9), “it is easier [with the Novint Falcon device] because there is something to hold your arm to” (P10).

V. DISCUSSION AND CONCLUSION

Participants’ performance results from this study agree with those in Batchmann et al. [34], where it is established that an effective and efficient human-computer interaction (HCI) is strongly influenced by input devices. Our findings determined that the precision of the participants was significantly better, which may be due to the ease of delimiting the exercise with the Novint Falcon haptic device [44], [45]. However, no
significant differences were found in the time of completion of the exercise. This behavior was reflected in the analysis between groups and devices that determined no difference in performance, except when G1 used the Novint Falcon haptic device and G2 used the Leap Motion controller.

Regarding the mental workload (NASA-TLX), the results establish that for participants of G1 the effort required to use any of the devices was about the same. However, for participants of G2 (seniors with motor impairments) using the Leap Motion device caused them a greater mental workload. During the execution of the exercise, one third of the participants of G2 (5 out of 15) had to hold their own hand to complete the task with the Leap Motion device. The above is confirmed by finding significant differences in the sub scales for physical demand, temporal demand, and effort. In addition, differences in workload between groups were found when using the Leap Motion controller, and in G1 while using the Novint Falcon haptic device and G2 while using the Leap Motion controller. This suggest that mental workload was higher in G2 given their motor impairment.

Moreover, in the concluding remarks of the evaluation several participants in both groups felt that the Leap Motion device did not correctly reflect the movement they had performed. This coincides with what has been reported by Guna and colleagues [33]. Guna et al. [33] establish that “the interaction device did not correctly reflect the movement they had used”.

For this reason, as future work we consider to address these limitations, by including patients that are actively conducting rehabilitation tasks, and for a longer time, so that we could have the opportunity to confirm our results at a longer term.

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