# A Dynamic Self-adaptive Resource-Load Evaluation Method in Cloud Computing

Liyun Zuo<sup>\*†</sup>, Lei Shu<sup>\*</sup>, Shoubin Dong<sup>†</sup>, Zhangbing Zhou<sup>‡</sup>, Lei Wang<sup>§</sup>

\*Guangdong Provincial Key Lab. of Petrochemical Equipment Fault Diagnosis,

Guangdong University of Petrochemical Technology, China

<sup>†</sup>School of Computer Science and Engineering, South China University of Technology, China

<sup>‡</sup>China University of Geoscience, Beijing, China and TELECOM SudParis, France

<sup>§</sup>School of Software, Dalian University of Technology, China

Email: {liyun.zuo, lei.shu}@lab.gdupt.edu.cn, sbdong@scut.edu.cn, zhangbing.zhou@gmail.com, lei.ray.wang@gmail.com

*Abstract*—Cloud resource and its load have dynamic characteristics. To address this challenge, a dynamic self-adaptive evaluation method (termed SDWM) is proposed in this paper. SDWM uses some dynamic evaluation indicators to evaluate resource state more accurately. And it divides the resource load into three states – *Overload*, *Normal* and *Idle* by the self-adaptive threshold. Then it migrates overload resources to balance load, and releases idle resources whose idle times exceed a threshold to save energy, which can effectively improve system utilization. Experimental results demonstrate SDWM has better adaptability than other similar methods when resources dynamically join or exit. This shows the positive effect of the dynamic self-adaptive threshold.

Keywords—cloud computing; energy; load evaluation

## I. INTRODUCTION

In cloud computing environment[1], many dynamic and uncertain factors of resource and load can influence resource availability and task scheduling, e.g., node load of resource dynamically changing along with time, and the amount of resource request changing with year, season and holiday. Meanwhile, there are many changes in the resource itself, i.e., resources may join or exit at any time. These dynamic and uncertain factors lead to a series of problems. For example, if the load is too light, it causes a waste of resources. And if the load is too heavy, it influences the performance of services which are running on a node referring to a server. Therefore, it is necessary to real-timely evaluate and manage cloud resources and their load. Here, a node means a server.

There are some works on evaluating the resource load. But most of them use some physical indicators, such as CPU, bandwidth, memory and other information [2-6]. These static indicators cannot meet the needs because it is difficult to reflect the dynamic changes of cloud resources. Some current researches divide the load status of resource by fixed threshold when evaluating the resource load, but such fixed thresholds are unsuitable for dynamic load environments.

To improve the situation discussed above, this paper proposes a dynamic self-adaptive evaluation method (termed SDWM). It evaluates resource state through leveraging three dynamic indicators, and divides resource load into three states by dynamic self-adaptive thresholds, which include *Overload*, *Normal* and *Idle*. Then it releases the idle resources to save energy and migrates overload resources to balance load and improve system utilization. The main contributions are as follows.

- First, it uses three dynamic indicators (the number of resource requests, resource service capacity, resource service strength) to evaluate the usage of resources. These dynamic indicators could describe the resource state more accurately.
- Second, it proposes a dynamic self-adaptive evaluation method to evaluate the resource load states accurately. It divides the resource load into three states by dynamic self-adaptive thresholds, which include *Overload*, *Normal* and *Idle*. Then it releases the idle resources to save energy and migrates overload resources to balance load and improve system utilization.

The rest of the paper is organized as follows. The related work is introduced in section II, and the preliminary is shown in section III. The SDWM is illustrated in section IV. The experiment for SDWM is shown in section V, and the paper is concluded in section VI.

# II. RELATED WORK

The related work is reviewed from the following two aspects: resource load evaluation and resource load evaluation and energy.

# A. Resource Load Evaluation

Currently, some static and physical indicators have been used in resource load evaluation, such as CPU computing power, memory and bandwidth. But in dynamic cloud environment, these indicators have the uncertain and non-iconic characteristic (that is to say, two CPUs are equal to computing power value, but the actual processing speed is different). So it is difficult for these static indicators to reflect the actual service capacity of a resource. Hence, some research work (e.g., [7]) leverages the extended form of these indicators, such as CPU utilization. But it is not accurate that the CPU utilization cannot really reflect the actual CPU use state because it also includes the memory latency. It is limited to judge resource load only by the CPU extension indicator. For example, the paper [8] evaluates and analyzes cloud resources data centers. It considers the overload case, but it does not consider extreme idle resources. The paper [9] evaluates the needs of the client and server computing power, then proposes an resource allocation method aiming at client demand. But it does not evaluate the usage of resources. Some researchers predict and self-adaptively allocate resource by the use state to achieve dynamic and proactive resource management, scheduling and planning for interactive e-business applications [10]. A heterogeneity-aware dynamic capacity provisioning scheme for cloud data centers is proposed through considering the heterogeneity of both workload and machine hardware and proposes [11]. But when they evaluate the use state of resources they only deal with the overload resources. Those idle resources are not taken into account.

### B. Resource Load Evaluation and Energy

Some researches integrate resource load evaluation with energy. The virtual resources are also dynamically consolidated by migration through an energy-aware model [12]. However, it does not take into account the resource load state. A heuristic method is used to set the overload threshold of host, which is set to 85% of CPU utilization. Those resources are determined to overload if their loads exceed this threshold [13]. However, these static thresholds cannot meet need of the dynamic resource load in cloud computing. Therefore, some researchers determined overload by dynamically adjusting the load threshold through a historical analysis [14] [15] [16], such as Median Absolute Deviation (MAD), Interquartile Range (IRQ), Local Regression (LR) and Local Regression Robust (LRR). They expect to save energy by migrating or consolidating overload resources. But these methods do not divide the state of resource in more detail, only judging overload. Although there is an adjustable factor to set the threshold in paper [7], it is used to balance energy and the Service Level Agreements Violation (SLAV).

The paper [17] divides resources into three states-hot, warm and cold spots based on resource utilization. Then it migrates some hot resources to avoid overload and releases the cold spot to save energy. This method of dividing resources and saving energy is similar to the proposed in this paper. But the evaluation threshold is fixed, and it does not test the performance concerning energy saving.

From the above analysis, these current researches on resource load do not divide resource load in detail, and their evaluation thresholds are fixed. So a more detailed method is proposed to evaluate and divide resource load state in this paper. Resource loads are divided into three states–*Overload*, *Normal* and *Idle* by dynamic evaluation indicators and selfadaptive thresholds. At the same time, the evaluation thresholds are dynamic and self-adaptive, thus can meet the dynamic needs of cloud resource loads.

#### III. PRELIMINARY

Now there are some existing evaluation methods. They judge overload based on CPU utilization. The resource is judged as overload when its CPU utilization exceeds a threshold.

(1) MAD (median absolute deviation): For the set  $X_1, X_2, \ldots, X_n$  (The method of calculating X is shown in papers [15] [16]. Here, only the basic idea is shown.), take their absolute values, and sort these absolute values in ascending

order to obtain the set  $X_1, X_2, \ldots, X_n$ , then take its midpoint, i.e.

$$MAD = median(X_1, X_2, \dots, X_n) \tag{1}$$

The upperbound threshold  $T_u$  is defined as follows.

$$T_u = 1 - s \cdot MAD \tag{2}$$

Where  $s \in R^+$  is a dynamic variable factor, while s is used to balance energy and performance. When  $T_u$  is lower, the energy is less, but the SLAV is higher. The resource is judged as overload when CPU utilization exceeds the threshold  $T_u$ .

(2) IRQ (interquartile range): it takes advantage of the quartile for ordered sets.

$$IRQ = Q_3 - Q_1 \tag{3}$$

Where  $Q_1$  is the quartile value of order sets,  $Q_3$  is threequarters of its value. The threshold  $T_u$  is defined as follows.

$$T_u = 1 - s \cdot IRQ \tag{4}$$

Where the meaning of s is the same to MAD. Similarly, the resource is judged as overload when CPU utilization exceeds the threshold  $T_u$ .

(3) LR (Local regression): it is a linear regression method. It fits the distribution of CPU utilization by curve-fitting techniques, then obtains the shortest distance line, y = f(x). The fitting straight line is used to predict the future CPU utilization. The resource is judged as overload when CPU utilization exceeds the threshold  $P_u$ .

$$P_u = s \cdot f(x_{n+1}) \tag{5}$$

(4) LRR (Local regression Robust): it is the advanced linear regression method. It is the same as LR, but the weight of the deviate value is changed for weakening the influence of outliers on the fitted curve.

These existing evaluation methods only use CPU utilization as the overall resource usage. However, in fact, CPU utilization does not fully represent the state of resources. It also includes a memory waiting time. Moreover, they only judge the overload state of resource load and do not consider the long-term idle or unavailable resources. Finally, they divide the resource state by a fixed threshold, which does not meet the dynamic needs of cloud resources nor reflect real-time information resources accurately.

#### IV. DYNAMIC WEIGHTED LOAD MODEL BASED ON SELF-ADAPTIVE ALGORITHM

This section proposes a novel dynamic self-adaptive load evaluation method (termed SDWM).

## A. Dynamic Evaluation Indicators

First, SDWM uses three dynamic evaluation indicators to describe dynamic state of resource load accurately [18]. It assumes that there are *n* resources in the set of cloud resources U, which is  $U = U_1, U_2, ..., U_n$ . These resources include physical resources and VM resources.

(1) The number of resource requests, r: the average number of service requests received by the resources per unit time.

If the number of resource request in each resource node  $U_i(1 \le i \le n)$  is  $r_i(1 \le i \le n)$ , then the resource request number of U is  $r_N = \sum_{i=1}^n r_i$ .

(2) The resource service capacity, *h*: the average number of service requests which the resource completes per unit time.

A larger value of h indicates a stronger resource service capacity and the higher price of resources. Resource price is proportional to h. Resource capacity of U is  $h_N = n / \sum_{i=1}^{n} \frac{1}{h_i}$ .

(3) The resource service strength, q: the ratio of the average time to complete a service request and their average time interval.

The variable q reflects the relative relationship of resource load strength and its available strength.  $q = \frac{r}{C \cdot h}$ . In this formula C is the parallel service strength. Resource strength of U is  $q_N = r_N / (h_N \cdot \sum_{i=1}^n C_i)$ .

# B. SDWM

Here, a dynamic weighted load evaluation algorithm is proposed. Resource nodes periodically execute SDWM to evaluate load state and calculate the normalized relative load value L[i]. L[i] can reflect the performance difference among resources and the potential load strength. L[i] is also used to divide the resource load state by the dual thresholds  $\lambda_1$  and  $\lambda_2$  ( $\lambda_1 < \lambda_2$ ). L[i] is defined as Formula 6.

$$L[i] = \begin{cases} 1, & if(r_i = R_i orh_i \ge H_i orq_i \ge Q_i) \\ w_1 \frac{r_i}{R_i} + w_2 \frac{h_i}{H_i} + w_3 \frac{q_i}{Q_i}, & Others \end{cases}$$
(6)

Where  $r_i$  and  $R_i$  are current request amount and the maximum request amount of the resource  $U_i$ , respectively;  $h_i$  and  $H_i$  are the current computing capacity and the maximum computing capacity of the resource  $U_i$ .  $q_i$  and  $Q_i$  are the current load strength and maximum load strength of the resource  $U_i$ . The ratios  $\frac{r_i}{R_i}$ ,  $\frac{h_i}{H_i}$ ,  $\frac{q_i}{Q_i}$  are respectively described as follows:  $r_i$  for  $R_i$ ,  $h_i$  for  $H_i$ ,  $q_i$  for  $Q_i$  are normalized value, whose range is [0,1].

The quantities  $\frac{r_i}{R_i}$ ,  $\frac{h_i}{H_i}$  and  $\frac{q_i}{Q_i}$  can change the adjustable weighted value of three resource indicators to L[i] by dynamically adjusting  $w_j$ , so it is named "dynamically weighted load evaluation algorithm." The weighted value  $w_j$  is dynamically and self-adaptively adjusted by the Formula 7 in every evaluation cycle.

$$w_j = w_0 + \mu(w_1 - w_0) \tag{7}$$

Here  $w_0$  and  $w_1$  are constants, which are in the range of [0, 0.5] and [0, 1], and  $w_0 > w_1$ . The value  $\mu$  is a random

number in range of [0,1]. The formula 7 makes the adjustable weighted value of  $\frac{r_i}{R_i}$ ,  $\frac{h_i}{H_i}$  and  $\frac{q_i}{Q_i}$  change randomly in  $[w_0, w_1]$ . And it follows the formula 8.

$$\sum_{1}^{3} w_j = 1$$
 (8)

The resource load state is divided into three states – Overload, Normal and Idle, based on L[i] by dual thresholds  $\lambda_1$  and  $\lambda_2$  ( $\lambda_1 < \lambda_2$ ). The term  $w_j$  needs to be updated in every evaluation cycle.

The  $\lambda_1$  and  $\lambda_2$  are calculated through the subtraction and addition of the system average load strength and the standard deviation.

$$\lambda_1 = Q - \sigma \tag{9}$$

$$\lambda_2 = Q + \sigma \tag{10}$$

While Q is the average load strength of all resources in cloud computing system, the  $\sigma$  is the standard deviation of system load.

$$Q = \frac{1}{n} \sum_{k=0}^{n} Q_k \tag{11}$$

$$\sigma = \sqrt{\frac{1}{n} \sum_{k=0}^{n} (Q_k - Q)}$$
(12)

While  $Q_k$  is the average load strength of resource k, n is the number of resource in the cloud data center.

$$Q_k = \frac{1}{m} \sum_{k=0}^n q_k \tag{13}$$

Here  $q_k$  is the load strength of resource node  $U_k (1 \le k \le n)$ .

The process of updating and adjusting thresholds  $\lambda_1$  and  $\lambda_2$  is shown in Algorithm 1.

Algorithm 1 Dynamic Self-adaptive Threshold Updating Algorithm

Input:
$< r_i, h_i, q_i >$
Output:
$\lambda_1,\lambda_2$
1: FOR i=1 to m
2: IF $< r_{i+1}, h_{i+1}, q_{i+1} > \neq < r_i, h_i, q_i > \text{THEN}$
3: Calculate Q as Formula 11;
4: Calculate $\sigma$ as Formula 12;
5: Calculate $Q_k$ as Formula 13;
6: Calculate $\lambda_1$ by $Q - \sigma$ ;
7: Calculate $\lambda_2$ by $Q + \sigma$ ;
8: ELSE
9: Break;
10: END IF
11: END FOR

## Algorithm 2 Dynamic Self-adaptive Evaluation Algorithm

```
Input:
    r_i, h_i, q_i, R_i, H_i, Q_i
Output:
    L_{[i]}, U_{state}, c, A_k >
 1: c = 0;
2: FOR i=1 to n
3.
       Calculate L_{[i]} as Formula 11;
4:
       Algorithm 1;
5:
       IF r_i = R_i orh_i \ge H_i orq_i \ge Q_i \parallel L_{\lceil i \rceil} \ge \lambda_2 THEN
6:
             state = overload; A_k = R_i - r_i;
7:
       ELSE IF L_{\lceil i \rceil} \leq \lambda_1 THEN
             U_{state} = Idle; c = c + 1;
8:
9.
          ELSE
              U_{state}
10:
                       = Normal;
11:
           END IF
        END IF
12:
        IF r_{i+1} \neq r_i or The number of VM changes THEN
13:
14:
           Update \lambda_1 and \lambda_2;
15:
        ELSE
16
           Break:
        END IF
17:
18:
       Update w_i;
19: END FOR
```

The implementation process of dynamic self-adaptive load evaluation is shown in Algorithm 2.

Where c is the idle time, and  $A_k$  is the migration amount of overload resources.

# V. EXPERIMENTS

The experiment is designed to evaluate the performance and dynamic adaptability of evaluation method.

# A. Experiments Setup and Metrics

The experiment is performed by the Cloudsim [19]. The experiment uses the workload in the paper [7]. RAM is 4096MB, hard disk capacity is 1TB, and the bandwidth is 1Gbit / s. The average request number follows a Poisson distribution.

The system resource utilization L (the average utilization of the system in all nodes) is used to evaluate the performance of every method. L is calculated as follows.

$$L = \frac{\sum_{i=1}^{N} L_i}{N} \tag{14}$$

MAD, IRQ, LR and LRR are compared with SDWM. Their parameters using the MMT of the paper [7]: MAD-MMT-2.5, IRQ-MMT-1.5, LR-MMT-1.2 and LRR-MMT-1.2. Besides, two more methods are compared with SDWM to demonstrate its effect of the self-adaptive threshold. One uses the fixed threshold which is the first evaluation threshold, and no longer changes. The other is Non-evaluation, which does not evaluate and consolidate resources. In order to clearly show these methods in figures, SDWM, Fixed threshold, Non-evaluation, MAD, IRG, LR, LRR are marked with the uppercase letters ABCDEFG, respectively.

# B. The Dynamic Self-adaptive Effect of the SDWM

This subsection demonstrates the dynamic self-adaptive effect of all methods along with resources changing. The experiment randomly selects 0-200 resources to exit or join.



Fig. 1. Energy with resource joining or exiting.



Fig. 2. Average response time with resource joining or exiting.



Fig. 3. Resource utilization with resource joining or exiting.

The amount of resource requests is 80 per second. Task scheduling uses Min-Min algorithm [20]. The targets are energy, the response time, and resource utilization for all methods. Experiments are carried out 30 times and obtain the average of each case.

Fig. 1 shows the average energy. The average energy of SDWM is lower than other methods with resources joining or exiting. The range of decreasing is from 15.4% to 26.8%.

Moreover, the dynamic range of SDWM is less than other methods. The reason is that SDWM periodically monitors resources and dynamically updates the threshold.

Fig. 2 shows that the average response time of SDWM is also lower than other methods. The range of SDWM decreasing is from 11% to 66.1% relative to other methods. The dynamic range of SDWM is the least (100ms) when resources dynamically change. Other methods are bigger than 200ms. Thus prove that the dynamic changes of resources greatly impact the response time of task scheduling. SDWM shows better adaptability than other methods, so it is more suitable for cloud computing.

Fig. 3 shows the resource utilization. It is obvious that SD-WM is much higher than other methods. There is an increase of 20% to 58.3%. The resource utilization of other methods changes along with resources joining or exiting significantly, but SDWM only has little difference.

The above results show that SDWM has great self-adaptive performance. The reasons are as follows: resources are realtimely consolidated. Resource load is balanced timely, and extreme idle resources are released. As a result, it saves energy and improves system resource utilization.

## VI. CONCLUSION

The dynamics of cloud resource makes that the traditional static evaluation indicators cannot describe resource state accurately. Therefore, this paper uses three dynamic evaluation indicators (i.e., the amount of resource request, resource computing capacity and resource load strength) to evaluate the resource load state. A dynamic self-adaptive algorithm is proposed to evaluate the resource load. It divides the resource load state into overload, normal and idle. The overload resources are migrated to balance load, and the idle resources are released to save energy if their idle time exceeds a certain threshold. With regard to the dynamics of cloud resources and loads, two self-adaptive thresholds are proposed. These two dynamic thresholds  $\lambda_1$  and  $\lambda_2$  are dynamically impacted by the dynamic evaluation indicator (resources load strength). They are updated and adjusted periodically. The experiment show that SDWM has a very great advantage in terms of response time and system resource utilization when resources are dynamically joining or exiting. It is same to energy saving. The maximum of saving energy is nearly 31.5%.

#### ACKNOWLEDGEMENT

This work is supported by the Natural Science Foundation of Guangdong Province, China Project No. 2014A030313729, 2013 Special Fund of Guangdong Higher School Talent Recruitment, 2013 top Level Talents Project in Sailing Plan of Guangdong Province, 2014 Guangdong Province Out-standing Young Professor Project. Lei Shu is the corresponding author.

#### REFERENCES

 C. Zhu, V. C. M. Leung, X. Hu, L. Shu, and L. T. Yang, A review of key issues that concern the feasibility of mobile cloud computing, in Proc. IEEE International Conference on Cyber, Physical and Social Computing (CPSCom), 2013, pp. 769C776.

- [2] A. Iosup, M. Jan, O. Sonmez, D. Epema. "The Characteristics and Performance of Groups of Jobs in Grids," *Euro-Par 2007 Parallel Processing Lecture Notes in Computer Science*, Springer-Verlag, Berlin, Heidelberg, 2007, pp. 382-393.
- [3] P. A. Dinda, D. R. O'Hallaron. "Host load prediction using linear models," *Cluster Computing*, vol. 3, no. 4, pp. 265-280, Dec. 2000.
- [4] A. I. D. Bucur, D. H. J. Epema. "Scheduling Policies for Processor Collocation in Multi cluster System," *IEEE Transactions on Parallel and Distributed Systems*, vol. 18, pp. 958-962, Jun. 2007.
- [5] Y. S. Dai, G. Levitin, K. S. Trivedi. "Performance and reliability of tree-structured grid services considering data dependence and failure correlation," *IEEE Transactions on Computers*, vol. 56, no. 7, pp. 925-936, Jul. 2007.
- [6] F. Song, C. Z. Xu. "Exploring event correlation for failure prediction in coalitions of clusters," in *Proc. of the 2007 ACM/IEEE Conference on Supercomputing*, Reno, NV, USA , 10-16 Nov. 2007, pp. 1-12.
- [7] A. Beloglazov, R. Buyya. "Optimal online deterministic algorithms and adaptive heuristics for energy and performance efficient dynamic consolidation of virtual machines in Cloud data centers," *Concurrency and Computation: Practice and Experience*, vol. 24, no. 13, pp. 1397-1420, Sep. 2012.
- [8] B. Olivier, E. D. Lionel, T. C. Christopher, R. Hejer. "Heterogeneous Resource Allocation under Degree Constraints," *IEEE Transactions on Parallel and Distributed Systems*, vol. 24, No. 5, pp. 926-937, May 2013.
- [9] S. Islam, J. Keung, K. Lee, A. Liu. "Empirical prediction models for adaptive resource provisioning in the cloud," *Future Generation Computer Systems*, vol. 28, no. 1, pp. 155-16, Jan. 2012.
- [10] Q. Zhang, M. F. Zhani, R. Boutaba. "Dynamic Heterogeneity-Aware Resource Provisioning in the Cloud," *IEEE Transactions on Cloud Computing*, vol. 2, no. 1, pp. 14-28, Mar. 2014.
- [11] D. Bruneo. "A Stochastic Model to Investigate Data Center Performance and QoS in IaaS Cloud Computing Systems," *IEEE Transactions on Parallel and Distributed Systems*, vol. 25, no. 3, pp. 560-569, Mar. 2014.
- [12] A. Verma, P. Ahuja, A. Neogi. "pMapper: power and migration cost aware application placement in virtualized systems," *Middleware 2008*, Springer Berlin Heidelberg, pp. 243-264, 2008.
- [13] X. Zhu, D. Young, B. J. Watson, et al. "1000 islands: Integrated capacity and workload management for the next generation data center," in *Proc.* of 2008 International Conference on Autonomic Computing, Chicago, IL, 2-6 June, 2008, pp. 172-181.
- [14] P. A. Dinda, D. R. O'Hallaron. "Host load prediction using linear models," *Cluster Computing*, vol. 3, no. 4, pp. 265-280, Dec. 2000.
- [15] A. Beloglazov, J. Abawajy, R. Buyya. "Energy-aware resource allocation heuristics for efficient management of data centers for cloud computing," *Future Generation Computer Systems*, vol. 28, no. 5, pp. 755-768, May 2012.
- [16] A. Beloglazov, R. Buyya. "Managing overloaded hosts for dynamic consolidation of virtual machines in cloud data centers under quality of service constraints," *IEEE Transactions on Parallel and Distributed Systems*, vol. 24, no. 7, pp. 1366-1379, Jul. 2013.
- [17] Z. Xiao, W. Song, Q. Chen. "Dynamic Resource Allocation Using Virtual Machines for Cloud Computing Environment," *IEEE Transactions* on Parallel and Distributed Systems, vol. 24, no. 6, pp. 1107-1116, Jun. 2013.
- [18] Z. J. Hu, "The resource availability evaluation in service grid environment for QoS," *Ph.D. dissertation*, Changsha, Central South University, 2010.
- [19] R. N. Calheiros, R. Ranjan, A. Beloglazov, A. F. Cesar, R. De, R. Buyya, "CloudSim: A Toolkit for Modeling and Simulation of Cloud Computing Environments and Evaluation of Resource Provisioning Algorithms," *Software: Practice and Experience, Wiley*, vol. 41, no. 1, pp. 23-50, 2011.
- [20] C. Zhu, X. Li, V. C. M. Leung, X. Hu, and L. T. Yang, "Job Scheduling for Cloud Computing Integrated with Wireless Sensor Network," in Proc. 6th IEEE Intertional Conference on Cloud Computing Technology and Science (CloudCom), 2014, pp. 62-69.